

Virtual International Seminar on Theoretical Advancements



Quantum dissipative dynamics approach to many-body open quantum systems

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Outline

1. Background and motivation

- 2. Fermionic HEOM & SEOM methods
- 3. Application to molecules on surfaces
- 4. Summary

Classical dissipative system

> Brownian motion







Theory (Einstein 1905)

- Langevin Equation (1908)
- Fokker-Planck Equation (1914)

$$m\frac{d^{2}x}{dt^{2}} = -\lambda\frac{dx}{dt} + \eta(t) \qquad \frac{\partial p(x,t)}{\partial t} = -\frac{\partial [\mu(x,t)p]}{\partial x} + \frac{\partial^{2} [D(x,t)p]}{\partial x^{2}}$$

Friction
(dissipation) Random driving
(fluctuation) Particles' drift Diffusion

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Kondo state in molecule/metal composite



Zhao, Yang, and Hou et al., **Science** 309, 1542 (2005)

Classical *vs* quantum environment^s

> Brownian motion



fluctuation & dissipation

> Kondo screening



High T

Low T

quantum coherence & correlation

Active roles of environment

- Enable formation of unconventional quantum states
- Mediate or screen long-range many-body interaction
- Provide new channels for tuning system properties

Open quantum systems



An open-system perspective



- Simulate real complex systems
- Reproduce and predict experimental observations
- Reveal mechanisms behind exotic quantum phenomena

Methods for many-body open quantum systems⁸

> Numerical renormalization group (NRG)

Wilson (1975), Costi (1997), Weichselbaum and von Delft (2007)

> Quantum Monte Carlo (QMC)

Hirsch and Fye (1986), Gull et al. (2011), Cohen et al. (2015)

> Density matrix renormalization group (DMRG)

White (1992), Xiang (1996), Shuai (1997), White and Feiguin (2004), Vidal (2004)

Single- and many-body Green function (GF)

Kadanoff and Baym (1962), Myohanen et al. (2008), Thygesen and Rubio (2008)

> Exact diagonalization (ED)

Dagotto (1994), Caffarel and Krauth (1994), Si et al. (1994)

> Real-time path-integral

Muhlbacher and Rabani (2008), Weiss and Egger (2008), Segal, Millis, and Reichman (2010)

> Many others

Quantum dissipation theories

> Isolated system: Schrödinger/Liouville equation

$$\dot{\rho_{\mathrm{T}}}(t) = -i \left[H_{\mathrm{T}}, \rho_{\mathrm{T}} \right]$$

> Open system

$$\begin{split} H_{\rm T} &= H_{\rm sys} + H_{\rm bath} + H_{\rm sb} \\ \hline {\rm Reduced} \\ {\rm density\ matrix} \quad \swarrow \quad \rho(t) \equiv {\rm tr}_{\rm B}[\rho_{\rm T}(t)] \\ & \dot{\rho}(t) = -i\left[H_{\rm sys},\rho(t)\right] - \mathcal{R}\,\rho \quad {\rm dissipation} \end{split}$$

 \succ Problem: What is the exact form of \mathcal{R} ?

An overview of theories

Quantum master equation (Mori-Zwanzig projection)

$$\dot{\rho}(t) = -i[H_{\rm sys}, \rho(t)] - \int_{-\infty}^{t} d\tau \, \underline{C(t, \tau)} \rho(\tau) \qquad \begin{array}{l} {\rm Memory} \\ {\rm effect} \end{array}$$

Perturbative approximation: weak system-bath coupling

Lindblad master equation

$$\dot{\hat{\rho}} = \mathcal{L}\hat{\rho} = -i[\hat{H},\hat{\rho}] + \sum_{j} \frac{\gamma_{j}}{2} [2\hat{L}_{j}\hat{\rho}\hat{L}_{j}^{\dagger} - \{\hat{L}_{j}^{\dagger}\hat{L}_{j},\hat{\rho}\}]$$

- Markovian approximation: $C(t, \tau) \sim \delta(t \tau)$
- ✓ Preserves positivity of reduced density matrix

Breuer and Petruccione, The Theory of Open Quantum Systems (Oxford University Press, 2007)

An overview of theories

Exact theories

□ Hierarchical equations of motion (HEOM)

$$\begin{split} \frac{\partial}{\partial t} \hat{\rho}_{j_{1},...,j_{K}}^{(n)}(t) &= -\left[i\hat{L}_{A} + n\gamma + \sum_{k=1}^{K} j_{k}v_{k} + \hat{\Xi}\right] \hat{\rho}_{j_{1},...,j_{K}}^{(n)}(t) \\ &+ \hat{\Phi}\left[\hat{\rho}_{j_{1},...,j_{K}}^{(n+1)}(t) + \sum_{k=1}^{K} \hat{\rho}_{j_{1},...,j_{k}+1,...,j_{K}}^{(n)}(t)\right] \\ &+ n\hat{\Theta}_{0}\hat{\rho}_{j_{1},...,j_{K}}^{(n-1)}(t) + \sum_{k=1}^{K} j_{k}\hat{\Theta}_{k}\hat{\rho}_{j_{1},...,j_{k}-1,...,j_{K}}^{(n)}(t) \end{split}$$



Ryogo Kubo

Tanimura and Kubo (1989), Yan and Shao et al. (2004), Xu and Yan (2005), Jin, Zheng and Yan (2008), Shi et al. (2009), Wu (2015)

□ Stochastic equation of motion (SEOM)

$$i\hbar\dot{\rho} = [H_0,\rho] + \frac{\mu}{2}[q^2,\rho] - \xi[q,\rho] - \frac{\hbar}{2}\nu\{q,\rho\}$$

Stockburger and Mak (1998), Stockburger and Grabert (2002), Shao (2004), Moix and Cao (2013), Zhu, Liu and Shi (2013), Han and Zheng et al. (2019)

Quantum coherence in living systems¹²

Fenna-Matthews-Olson (FMO) complex in photosystem



Flemming et al., Nature 446, 782 (2007); Ishizaki and Flemming, PNAS 106, 17255 (2009)

Challenges

Limitations of existing theoretical methods

- Bosonic environment
- High temperature
- Weak coupling regime
- Simple model systems

- Fermionic environment
- Low temperature
- Strong coupling regime
- Real complex systems



Significant advancements in experiments ¹⁴

> Theoretical challenge: unprecedentedly high energy resolution



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Coupled cluster (CC) theory

CC expansion of electron excitations



 $\hat{a}^a = \hat{a}^\dagger_a$ and \hat{a}_i denote the creation and annihilation operators

Path integral formulation

CC-like expansion for impurity-reservoir coupling

$$\rho = \mathcal{U}(t, t_0) \rho_0 \quad \Box \qquad \rho = \int e^{-\int_{t_0}^t \mathcal{R}(\tau) d\tau} \rho_0$$

$$\mathcal{R}(t) = \sum_{\sigma=\pm} \left(\psi_t^{\overline{\sigma}} + \psi_t'^{\overline{\sigma}} \right) \int_{t_0}^t d\tau \left\{ C^{\sigma}(t-\tau) \psi_{\tau}^{\sigma} - \left[C^{\overline{\sigma}}(t-\tau) \right]^* \psi_{\tau}'^{\sigma} \right\}$$





Environment-mediated excitations

Construction of fermionic HEOM¹⁸

Decomposition of memory kernel: elementary process

$$C^{\sigma}(t) = \sum_{m=1}^{M} B_{m}^{\sigma} e^{-\gamma_{m}^{\sigma} t}$$

> Excitations with characteristic memory times $\{1/\gamma_m^{\sigma}\}$

$$\mathcal{R}(t) = \sum_{\sigma=\pm} \mathcal{R}^{\sigma}(t) = \sum_{\sigma=\pm} \sum_{m=1}^{M} \mathcal{R}^{\sigma}_{m}(t)$$

$$\partial_t \mathcal{R}_m^{\sigma}(t) \propto -\frac{\gamma_m^{\sigma}}{M} \mathcal{R}_m^{\sigma} + \frac{B_m^{\sigma}}{W} \psi_t$$

Auxiliary density operators (ADOs) as amplitudes of excitations

$$\rho_{m_1\cdots m_I n_1\cdots n_J}^{(-\dots-+\dots+)} \propto (-i)^{I+J} \mathcal{F} \mathcal{R}_{m_I}^{-} \cdots \mathcal{R}_{m_1}^{-} \mathcal{R}_{n_J}^{+} \cdots \mathcal{R}_{n_1}^{+} \rho_{\mathbf{0}}$$

Construction of fermionic HEOM¹⁹

$$\dot{\rho}_{j_1\cdots j_n}^{(n)} = \left(-i\mathcal{L}_s + \sum_{r=1}^n \gamma_{j_r}\right)\rho_{j_1\cdots j_n}^{(n)} + \sum_{j=1}^{N_j} \mathcal{A}_j\rho_{j_1\cdots j_n j}^{(n+1)} + \sum_{r=1}^n \mathcal{C}_{j_r}\rho_{j_1\cdots j_{r-1} j_{r+1}\cdots j_n}^{(n-1)}$$

✓ Formally exact

- Computational cost scales exponentially with *M* and *L*
- Careful handling of truncation error



Memory time (temperature)

Jin, Zheng and Yan, J. Chem. Phys. 128, 234703 (2008)

Roadmap for fermionic HEOM method^o

Enhanced efficiency & extensive applications



Numerical performance of HEOM

Single-impurity Anderson model



$A_{s}(\omega) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} dt \, e^{i\omega t} \langle \{ \hat{d}_{s}(t), \hat{d}_{s}^{\dagger} \} \rangle$

Highly accurate results achieved in low-T and strong- Γ regimes

Li, Zheng, and Yan et al., **Phys. Rev. Lett.** 109, 266403 (2012) Zhang and Zheng et al., **J. Chem. Phys.** 152, 064107 (2020) Ding and Zheng et al., **J. Chem. Phys.** 157, 224107 (2022)

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Stochastic theories for boson bath²

Non-Markovian quantum state diffusion (NMQSD)

$$\frac{d}{dt}\psi_t = -iH\psi_t + L\psi_t z_t - L^{\dagger} \int_0^t \alpha(t,s) \frac{\delta\psi_t}{\delta z_s} ds$$

{*z*_{*t*}}: complex Gaussian white noises

Gisin and Percival (1992), Diósi and Strunz (1997), Jing and Yu (2010), Zhao et al. (2015)

• Stochastic equation of motion (SEOM) method

$$i\hbar\dot{\rho} = [H_0,\rho] + \frac{\mu}{2}[q^2,\rho] - \xi[q,\rho] - \frac{\hbar}{2}\nu\{q,\rho\}$$
$$\{\xi,\nu\}: \text{ complex Gaussian colored noises}$$

Stockburger and Mak (1998), Stockburger and Grabert (2002), Shao (2004)

A single equation yields exact dissipative dynamics

Bosonic stochastic EOM

Dissipative two-level system (spin-boson model)



Yan and Shao, Front. Phys. China (2016)

Evolution of population

$$\sigma_z(t) = \langle \hat{\sigma}_z \, \rho(t) \rangle = \mathcal{M}\{ \operatorname{tr}(\hat{\sigma}_z \rho) \}$$

SEOM can easily access low-temperature regime

Fermionic stochastic EOM?

 Analytic formulation of fermion Brownian motion was proposed as early as in 1980s

Barnett, Streater and Wilder (1982), Applebaum and Hudson (1984), Rogers (1987)

 Both NMQSD and SEOM were formally extended to fermionic open systems

Zhao and Yu et al. (2012), Chen and You (2013), Suess, Strunz and Eisfeld (2015)

No direct stochastic numerical calculation

Grassmann fields (<u>g-fields</u>) $\eta_t \eta_\tau = -\eta_\tau \eta_t$ $\eta_t \eta_\tau = -\eta_\tau \eta_t$ **Need** *N* matrices **of size** $2^N \times 2^N$

Hsieh and Cao, J. Chem. Phys. 148, 014103 (2018)

A numerically feasible SEOM

The minimal-auxiliary-space mapping



> A numerically feasible fermionic SEOM

$$\begin{split} \eta_t &\mapsto v_t X^- \\ \bar{\eta}_t &\mapsto v_t X^+ \end{split} \stackrel{\dot{\tilde{\rho}}_{\mathrm{S}}}{\longrightarrow} \stackrel{\dot{\tilde{\rho}}_{\mathrm{S}}}{\longrightarrow} \frac{-i[H_{\mathrm{S}}, \tilde{\rho}_{\mathrm{S}}] + e^{-i\pi/4} (\hat{c}^{\dagger} Y_1 + Y_2 \, \hat{c}) \tilde{\rho}_{\mathrm{S}}}{+ e^{i\pi/4} \tilde{\rho}_{\mathrm{S}} (\hat{c}^{\dagger} Y_3 + Y_4 \, \hat{c})} \end{split}$$

(CIS-like treatment)

Han and Zheng et al. Phys. Rev. Lett. 123, 050601 (2019)

Performance of fermionic SEOM²⁶

Interacting single-impurity Anderson model



SEOM is highly accurate in the short-time regime

Han and Zheng et al., Phys. Rev. Lett. 123, 050601 (2019) Zheng et al., J. Chem. Phys. 152, 204105 (2020); 152, 204106 (2020)

Fermionic HEOM versus SEOM

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Summary of current status

	HEOM	SEOM	
Short-time dynamics	✓		
Long-time dynamics	\checkmark	working	
Stationary state	✓ ×		
Correlated initial state	\checkmark	×	
Numerically "exact" $(U = 0)$	\checkmark	1	
Numerically "exact" $(U \neq 0)$	\checkmark	×	
Low temperature	\checkmark	\checkmark	
Strong sys-env coupling	\checkmark	working	
Massive parallelization	working	1	

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Control of molecular spin state

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SAMPLE VOLTAGE (mV)

> Kondo screening versus spin excitation



Spin-orbit coupling

Molecule weakly coupled to substrate

Control of molecular spin state

> Kondo screening versus spin excitation



Zhao, Yang & Hou et al., Science 309, 1542 (2005)





Hirjibehedin et al., Nat. Nanotechnol. 9, 64 (2014)



Ralph et al., Science 328, 1370 (2010)



Ormaza et al., Nat. Commun. 8, 1974 (2017)

Many-body open quantum systems

> Anderson impurity model (with extensions)

 $H_{\rm total} = H_{\rm impurity} + H_{\rm reservoir} + H_{\rm coupling}$

$$H_{\text{impurity}} = \sum_{is} \epsilon_{is} \,\hat{n}_{is} + U \sum_{i} \hat{n}_{i\uparrow} \,\hat{n}_{i\downarrow} + H_{\text{spin-field}} + H_{\text{spin-spin}}$$
$$H_{\text{reservoir}} = \sum \sum \epsilon_{k\alpha} \,\hat{d}_{\alpha ks}^{\dagger} \,\hat{d}_{\alpha ks}$$





E2+U

 $k = \frac{1}{ks}$ $H_{\text{coupling}} = \sum t_{\alpha ik} \, \hat{d}^{\dagger}_{\alpha ks} \, \hat{a}_{is} + \text{h.c.}$ αiks

Gaussian statistics

Reservoir hybridization function

$$J_{\alpha,ij}(\omega) = \pi \sum_{\alpha k} t_{\alpha i k} t^*_{\alpha j k} \delta(\omega - \epsilon_{k\alpha})$$

HEOM for **QUantum Impurity with a Correlated Kernel**

Advanced Review

HEOM-QUICK: a program for accurate, efficient, and universal characterization of strongly correlated quantum impurity systems





WIREs Comput. Mol. Sci. 6, 608 (2016)

LvZhou Ye,¹ Xiaoli Wang,¹ Dong Hou,¹ Rui-Xue Xu,¹ Xiao Zheng^{1*} and YiJing Yan²

V1.0 (2016): Accurate characterization of Kondo state V2.0 (2023): Accurate characterization of spin excitation



Kondo + spin excitation







Dynamic spin excitation

Zhang and Zheng et al., unpublished

Competition between Kondo and spin excitation

> Abrupt jump in the d*I*/d*V* spectral lineshape: why?



> Uncover the decisive factor for the jump:

Strength of hybridization between Ni d orbitals and surface bands

Zuo et al., J. Phys. Chem. Lett. 13, 11262 (2022)

Enhanced spin sensing with SP-STM³⁴

Experiment: d²I/dV² spectra (resolution < 1 meV)</p>



Verlhac and Limot et al., Science 366, 623 (2019)

What do the peaks tell about the probed spin?

- > Theoretical study: an open-system approach
 - System: $d_{xz} \& d_{yz}$ orbitals on Ni-ion
 - Environment: Cu-tip & Fe/Cu(100)

Enhanced spin sensing with SP-STM³⁵

> Analytic formulas by electron cotunneling theory



$$\eta = \frac{\Gamma_{\uparrow} - \Gamma_{\downarrow}}{\Gamma_{\uparrow} + \Gamma_{\downarrow}} \implies \underset{\text{polarization}}{\text{Spin}} \text{Peak area} \begin{cases} T_{I} = (1 + \lambda)(1 - \eta) \Gamma_{S}\Gamma_{t} \\ T_{II} = (1 - \lambda)(1 + \eta) \Gamma_{S}\Gamma_{t} \\ T_{III} = (1 - \lambda)(1 - \eta) \Gamma_{S}\Gamma_{t} \\ T_{III} = (1 - \lambda)(1 - \eta) \Gamma_{S}\Gamma_{t} \\ T_{III} = (1 - \lambda)(1 - \eta) \Gamma_{S}\Gamma_{t} \end{cases}$$

Zuo and Zheng et al., unpublished

Enhanced spin sensing with SP-STM

> Simulation (by HEOM-QUICK) versus experiment



- Peak position: probe-nanomagnet spin-exchange energy •
- Peak area: rate of inelastic electron cotunneling process •

Enhanced spin sensing with SP-STM³⁷

> Theory, simulation and experiment



> Uncover the decisive factor for the spectral lineshape:

Spin- & orbital-polarization of probe-nanomagnet hybridization

Significant advancements in experiments ³⁸

> Theoretical challenge: unprecedentedly high energy resolution



Probing weak spin-spin interactions

Electron spin resonance (ESR) based on STM setup



Baumann et al., Science 350, 6259 (2015) Heinrich et al., Phys. Rev. Lett. 119, 227206 (2017) Heinrich et al., Nat. Chem. 14, 59 (2022)



Heinrich et al., Nat. Chem. 14, 59 (2022)

- > Puzzle: origin of signal?
 - Piezoelectric effect
 - Spin-phonon coupling
 - Electron co-tunneling
 - Spin transfer torque

Probing weak spin-spin interactions ⁴⁰

> Simulation with HEOM: TiH dimer/MgO/Ag



$$\widehat{H}_{\text{TIAM}} = \widehat{H}_{\text{imp}} + \widehat{H}_{\text{env}} + \widehat{H}_{\text{int}}$$

$$\hat{H}_{imp} = \sum_{i=1,2} \left(\epsilon_i \hat{n}_i + U \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} + g_i \mu_B \boldsymbol{B}_{ext} \cdot \boldsymbol{\hat{S}}_i \right) + J_{12} \boldsymbol{\hat{S}}_1 \cdot \boldsymbol{\hat{S}}_2 + D \left(3 \hat{S}_{1z} \hat{S}_{2z} - \boldsymbol{\hat{S}}_1 \cdot \boldsymbol{\hat{S}}_2 \right) \hat{H}_{any} = \sum \left[\epsilon_{alyg} - V_a(t) \right] \hat{n}_{alyg}$$





Heinrich et al., Science 366, 509 (2019)



Cao et al., unpublished

Probing weak spin-spin interactions

> Prediction and novel design based on simulation



Challenge for coherent control

QUANTUM INFORMATION

An atomic-scale multi-qubit platform

Yu Wang^{1,2}+, Yi Chen^{1,2,3,4}+, Hong T. Bui^{1,5}+, Christoph Wolf^{1,2}, Masahiro Haze^{1,6}, Cristina Mier^{1,7}, Jinkyung Kim^{1,5}, Deung-Jang Choi^{1,7,8,9}, Christopher P. Lutz¹⁰, Yujeong Bae^{1,5}*, Soo-hyon Phark^{1,2}*, Andreas J. Heinrich^{1,5}*



Wang et al., Science 382, 87 (2023)

- Longer coherence time (presently several hundred *ns*)
- Accurate prediction of non-Markovian dissipative dynamics

Summary

- The HEOM method offers <u>accurate</u>, <u>efficient</u>, and <u>versatile</u> tools for the simulation of spin-related phenomena in <u>realistic open systems</u>
 - ✓ Kondo spin-screening effect
 - ✓ Magnetic anisotropy
 - Long-range superexchange interaction
 - ✓ Precise manipulation of molecular magnets
 - ✓ Precise measurement of spin interactions
 - ✓ Spin-boosted heterogeneous catalysis
 - More to discover ...
- > Quantum environment has crucial influence on local spin states and strongly correlated states

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Collaborators

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Quantum interference in organic materials

> Charge and excitation energy transfer in molecular aggregates



Zhao and Liang et al., J. Phys. Chem. Lett. 8, 5105 (2017); 12, 1032 (2021)

Exact termination of fermionic hierarch[‡]

• Zero-value terminator (*L*th-tier truncation)



• Theorem: existence of a rigorous finite-tier termination

Convergence test on	$\rho_{\uparrow\uparrow}=\rho_{\downarrow\downarrow}$	$n_{\uparrow} = n_{\downarrow}$	L
a single-level system	0.283 567 930 856	0.530 091 197 209	1
	0.306 745 231 635	0.460 675 469 887	2
	0.270 358 283 528	0.490 952 014 924	3
L = 4 (CCSDTQ-like) yields accurate ρ	0.269 359 794 471	0.490 675 288 339	4
	0.269 327 938 933	0.490 526 324 607	5
	0.269 327 915 854	0.490 540 <i>350 968</i>	6
	0.269 328 203 985	0.490 540 484 313	7
$L = 8$ yields exact ρ	0.269 328 185 419	0.490 540 476 338	8
	0.269 328 185 419	0.490 540 476 338	9
	0.269 328 185 419	0.490 540 476 338	10

Han and Zheng et al., J. Chem. Phys. 148, 234108 (2018)

Efficient termination of the hierarchy

A new terminator: adiabatic terminator

$$\rho_{j_{1}\cdots j_{L+1}}^{(L+1)} \simeq -i \sum_{\nu'} \left[\underbrace{\mathcal{W}_{j_{r}\nu'}}_{j_{r}\nu'} \hat{c}_{\nu'}^{\sigma} \rho_{j_{1}\cdots j_{r-1}j_{r+1}\cdots j_{L+1}}^{(L)} - \underbrace{\mathcal{W}_{j_{r}\nu'}}_{j_{r}\nu'} \rho_{j_{1}\cdots j_{r-1}j_{r+1}\cdots j_{L+1}}^{(L)} \hat{c}_{\nu'}^{\sigma} \right]$$

Decoupling the fastest dissipative mode from other modes (BO-like)



Zhang and Zheng et al., Chin. J. Chem. Phys. 34, 905 (2021)

Efficient termination of the hierarchy⁴⁷

Performance test for adiabatic terminator: dynamics



Adiabatic terminator greatly improves the efficiency and stability

Zhang and Zheng et al., Chin. J. Chem. Phys. 34, 905 (2021)