

Mapping Approach to Surface Hopping (MASH)

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Nonadiabatic dynamics



When the Born-Oppenheimer approximation breaks down, we have a Hamiltonian like

$$\begin{aligned} \hat{\mathcal{H}} &= \frac{p^2}{2m} + \begin{pmatrix} V_1(x) & \Delta(x) \\ \Delta(x) & V_2(x) \end{pmatrix} \\ &= \frac{p^2}{2m} + V_1 |1\rangle \langle 1| + V_2 |2\rangle \langle 2| + \Delta(|1\rangle \langle 2| + |2\rangle \langle 1|) \end{aligned}$$

This is a two-level electronic system coupled to many nuclear degrees of freedom

- Describe nuclear dynamics classically with molecular dynamics
- and electronic-state dynamics quantum mechanically
- in a consistent way

Nonadiabatic dynamics methods

- Ground-state classical-path approximation (no back-reaction)
 - Mott 1931
 - QM/MM simulations (Kleinekathöfer...)
- Ehrenfest mean-field dynamics
 - Ehrenfest 1927, McLachlan 1964, Micha 1983, Tully 1998
- Quasiclassical mapping methods
 - MMST mapping (Meyer & Miller 1979, Stock & Thoss 1999) and SQC (Cotton & Miller)
 - Spin mapping (Runeson & Richardson 2019)
- Surface Hopping
 - Fewest-switches surface hopping (Tully 1990)
 - Many variants to decoherence corrections, momentum reversals etc. (Subotnik, Truhlar, Martens...)
- Others...

Superposition (Bloch sphere) $|1\rangle \Leftrightarrow |\uparrow\rangle \quad |2\rangle \Leftrightarrow |\downarrow\rangle$



$$\begin{aligned} |\psi\rangle &= c_1 |1\rangle + c_2 |2\rangle \\ c_1 &= \cos\frac{\theta}{2} e^{-i\varphi/2} \\ c_2 &= \sin\frac{\theta}{2} e^{+i\varphi/2} \end{aligned}$$

$$S_x = \langle \psi | \hat{S}_x | \psi \rangle = \frac{1}{2} \sin \theta \cos \varphi$$
$$S_y = \langle \psi | \hat{S}_y | \psi \rangle = \frac{1}{2} \sin \theta \sin \varphi$$
$$S_z = \langle \psi | \hat{S}_z | \psi \rangle = \frac{1}{2} \cos \theta$$

Coherence (Bloch sphere) $|1\rangle \Leftrightarrow |\uparrow\rangle |2\rangle \Leftrightarrow |\downarrow\rangle$

$$\hat{H}(x) = \begin{pmatrix} V_1 & \Delta \\ \Delta & V_2 \end{pmatrix} = \underbrace{\frac{1}{2}(V_1 + V_2)}_{H_0} + \underbrace{2\Delta}_{H_x} \underbrace{\frac{1}{2}\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}}_{\hat{S}_x} + \underbrace{(V_1 - V_2)}_{H_z} \underbrace{\frac{1}{2}\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}}_{\hat{S}_z} = H_0 + \boldsymbol{H} \cdot \hat{\boldsymbol{S}}$$

which is Hamiltonian for a spin in a magnetic field



Schrödinger equation: $|\dot{\psi}\rangle = \frac{1}{i\hbar}\hat{H}|\psi\rangle$ $\dot{c}_1 = \frac{1}{i\hbar} [V_1 c_1 + \Delta c_2]$ $\dot{c}_2 = \frac{1}{i\hbar} [\Delta c_1 + V_2 c_2]$ **Dynamics**: $\frac{\partial}{\partial t}\hat{\boldsymbol{S}} = \frac{1}{\mathrm{i}\hbar}[\hat{\boldsymbol{H}},\hat{\boldsymbol{S}}]$

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or equivalently:

Equations of motion

$$\dot{oldsymbol{S}}=oldsymbol{H} imesoldsymbol{S}$$
 $\dot{oldsymbol{s}}=p/m$ $\dot{p}=-
abla V_0+\mathcal{F}$

Ground-state classical path approximation: $\mathcal{F} = 0$ Ehrenfest mean-field: $\mathcal{F} = -\langle \psi | \nabla \hat{H} | \psi \rangle$ Spin mapping: $\mathcal{F} = -\sqrt{3} [\nabla H_x S_x + \nabla H_y S_y + \nabla H_z S_z]$ Surface hopping: $\mathcal{F} = -\nabla V_n$ stochastic hop of n

Example: N–H photodissociation of methylamine



$$\mathcal{H} = \frac{p^2}{2m} + H_0 + \sqrt{3}\mathbf{H} \cdot \mathbf{S}$$
$$\dot{\mathbf{S}} = \mathbf{H} \times \mathbf{S}$$
$$\dot{\mathbf{x}} = p/m$$
$$\dot{p} = -\frac{\partial H_0}{\partial x} - \sqrt{3}\frac{\partial \mathbf{H}}{\partial x} \cdot \mathbf{S}$$

fitted PES from Parker & Truhlar, JCP 152, 244309 (2020)

MMST mapping

$$c_1 \mapsto \frac{1}{\sqrt{2}}(X_1 + \mathrm{i}P_1) \qquad c_2 \mapsto \frac{1}{\sqrt{2}}(X_2 + \mathrm{i}P_2)$$



$$\operatorname{Tr}[\hat{A}\hat{B}] = \frac{1}{(2\pi)^2} \iint A_{\mathrm{W}}(X, P) B_{\mathrm{W}}(X, P) \mathrm{d}X \mathrm{d}P$$

where

$$\begin{split} \sigma_x(X,P) &= 16(X_1X_2 + P_1P_2) \,\mathrm{e}^{-X^2 - P^2} \\ \sigma_y(X,P) &= 16(X_1P_2 - P_1X_2) \,\mathrm{e}^{-X^2 - P^2} \\ \sigma_z(X,P) &= 8(X_1^2 + P_1^2 - X_2^2 - P_2^2) \,\mathrm{e}^{-X^2 - P^2} \\ \mathcal{I}(X,P) &= 8(X_1^2 + P_1^2 - X_2^2 - P_2^2 - 1) \,\mathrm{e}^{-X^2 - P^2} \end{split}$$

Meyer, Miller, Stock, Thoss...Wigner

Spin mapping [Johan Runeson]

• Want phase-space representation such that

$$\operatorname{Tr}[\hat{\mathcal{I}}^{2}] = \operatorname{Tr}\left[\begin{pmatrix}1 & 0\\ 0 & 1\end{pmatrix}\begin{pmatrix}1 & 0\\ 0 & 1\end{pmatrix}\right] = \frac{1}{2\pi} \iint \underbrace{\frac{1}{\mathcal{I}} \underbrace{\frac{1}{\mathcal{I}} \operatorname{sin} \theta \mathrm{d}\theta \mathrm{d}\varphi}}_{S_{z}} \operatorname{sin} \theta \mathrm{d}\theta \mathrm{d}\varphi$$
$$\operatorname{Tr}[\hat{S}_{z}^{2}] = \frac{1}{4} \operatorname{Tr}\left[\begin{pmatrix}1 & 0\\ 0 & -1\end{pmatrix}\begin{pmatrix}1 & 0\\ 0 & -1\end{pmatrix}\right] = \frac{1}{2\pi} \iint \underbrace{\frac{\sqrt{3}}{2} \cos\theta}_{S_{z}} \underbrace{\frac{\sqrt{3}}{2} \cos\theta}_{S_{z}} \operatorname{sin} \theta \mathrm{d}\theta \mathrm{d}\varphi}_{S_{z}}$$
$$\operatorname{Tr}[\hat{S}_{x}^{2}] = \frac{1}{4} \operatorname{Tr}\left[\begin{pmatrix}0 & 1\\ 1 & 0\end{pmatrix}\begin{pmatrix}0 & 1\\ 1 & 0\end{pmatrix}\right] = \frac{1}{2\pi} \iint \underbrace{\frac{\sqrt{3}}{2} \sin\theta \cos\varphi}_{S_{x}} \underbrace{\frac{\sqrt{3}}{2} \sin\theta \cos\varphi}_{S_{x}} \operatorname{sin} \theta \mathrm{d}\theta \mathrm{d}\varphi}_{S_{x}}$$

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$$\operatorname{N.B.} \langle \hat{S}^{2} \rangle = S(S+1) = \frac{1}{2}(\frac{1}{2}+1) = \frac{3}{4} = \left(\frac{\sqrt{3}}{2}\right)^{2}$$

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Spin Spheres [Johan Runeson]





Mapping methods and thermalization [Graziano Amati]



 Runeson, Mannouch, Amati, Fiechter & J.O.R. "Spin-mapping methods for simulating ultrafast nonadiabatic dynamics." Chimia 76, 582 (2022).

Mapping methods and thermalization [Graziano Amati]



 Runeson, Mannouch, Amati, Fiechter & J.O.R. "Spin-mapping methods for simulating ultrafast nonadiabatic dynamics." *Chimia* 76, 582 (2022).

More spin-boson models



Mannouch & J.O.R. "A mapping approach to surface hopping." J. Chem. Phys. 158, 104111 (2023).

Wavepacket Branching



Ehrenfest mean-field theory



$$E = \frac{p^2}{2m} + V_1 |c_1|^2 + V_2 |c_2|^2$$
$$\dot{S} = \mathbf{H} \times \mathbf{S}$$
$$\dot{x} = p/m$$
$$\dot{p} = -\frac{\partial V_1}{\partial x} |c_1|^2 - \frac{\partial V_2}{\partial x} |c_2|^2$$

Ehrenfest mean-field theory



Ehrenfest mean-field theory





Surface hopping



$$E = \frac{p^2}{2m} + V_1 \delta_{n1} + V_2 \delta_{n2}$$

$$\dot{S} = H \times S$$

$$\dot{x} = p/m$$

$$\dot{p} = -\frac{\partial V_1}{\partial x} \delta_{n1} - \frac{\partial V_2}{\partial x} \delta_{n2}$$

stochastic hop of state, n
+ momentum rescaling

■ Tully. "Molecular dynamics with electronic transitions." J. Chem. Phys. 93, 1061 (1990).

Surface hopping



Tully. "Molecular dynamics with electronic transitions." J. Chem. Phys. 93, 1061 (1990).

MASH [Jonathan Mannouch]



$$E = \frac{p^2}{2m} + V_1 h(-S_z) + V_2 h(S_z)$$

$$\dot{S} = H \times S$$

$$\dot{x} = p/m$$

$$\dot{p} = -\frac{\partial V_1}{\partial x} h(-S_z) - \frac{\partial V_2}{\partial x} h(S_z)$$

$$+ 4(V_2 - V_1) d_{12} S_x \delta(S_z)$$



MASH [Jonathan Mannouch]





MASH [Jonathan Mannouch]



$$E = \frac{p^2}{2m} + V_1 h(-S_z) + V_2 h(S_z)$$
$$\dot{S} = H \times S$$
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MASH [Jonathan Mannouch]



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Even more spin-boson models



Rate theory [Joseph Lawrence]



 Lawrence, Mannouch & J.O.R. "Accurate surface hopping rates without decoherence corrections? Use MASH." in preparation.

Summary and Outlook

- Spin mapping is similar in spirit but often more accurate than Ehrenfest
- Sometimes surface hopping is better than spin mapping and sometimes not
- Surface hopping suffers from (at least) two different errors
- The mapping approach to surface hopping (MASH) combines the advantages of spin mapping and surface hopping and thus fixes one of the two errors
- MASH is deterministic
- MASH ensures internal consistency between spin vector and active state
- MASH uniquely defines momentum rescaling/reversals and frustrated hops
- MASH almost obeys detailed balance and provides a measure for the error
- MASH recovers Marcus theory without requiring extra decoherence corrections
- MASH is rigorously derived from and systematically improvable to QCLE using rigorous decoherence corrections (required for Tully 2&3)
- caveat: MASH is currently limited to 2 states but we are working on it!

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- Dr. Joseph Lawrence
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- Dr. Yaling Ke
- Kasra Asnaashari

Former members:

- Dr. Jonathan Mannouch
- Dr. Johan Runeson
- Dr. Graziano Amati
- Dr. Maximilian Saller

Collaborators:

Dr. Aaron Kelly (MPSD Hamburg)





We are looking for new students, postdocs and collaborators



Spin-mapping nonadiabatic dynamics [Johan Runeson]

$$\mathcal{H} = \frac{p^2}{2m} + V_0 + H_x S_x + H_y S_y + H_z S_z$$

= $\frac{p^2}{2m} + V_0 + \sum_{nm} \frac{1}{2} (X_n X_m + P_n P_m - \gamma) H_{nm}$

$$\gamma_{\text{Ehrenfest}} = 0$$
 $\gamma_{\text{MMST}} = 1$ $\gamma_{\text{Stock}} \approx 0.6$ $\gamma_{\text{SQC}} = 0.666...$

$$\gamma_{SU(2)} = \sqrt{3} - 1 = 0.732...$$
 $\gamma_{SU(N)} = \frac{2}{N} \left(\sqrt{N+1} - 1 \right)$

Runeson & J.O.R. "Generalized spin mapping for quantum-classical dynamics." J. Chem. Phys. 152, 084110 (2020).

More spin-boson models (again!)



Mannouch & J.O.R. "A mapping approach to surface hopping." J. Chem. Phys. 158, 104111 (2023).

3-mode pyrazine model



Rate theory [Joseph Lawrence]



 Lawrence, Mannouch & J.O.R. "Accurate surface hopping rates without decoherence corrections? Use MASH." in preparation. 5/9

Quantum jump and decoherence corrections





Results for Tully 3













Mannouch & J.O.R. "A mapping approach to surface hopping." J. Chem. Phys. 158, 104111 (2023).

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Exact

Wavepacket results for Tully 3



Mannouch & J.O.R. "A mapping approach to surface hopping." J. Chem. Phys. 158, 104111 (2023).