

## Diagrammatic Quantum Monte Carlo toward The Calculation of Transport Properties in Disordered Semiconductors

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### **Microscopic disorders in materials**

#### Structural disorders induce certain variations in the electronic properties of materials

#### Static disorder



Zheng et al. Adv. Energy Mater. 2019, 9, 1803926.

- From structural imperfections like impurities, vacancies, ...
- Do not obviously vary over a long time scale
- Random in nature

# Local and nonlocal disorders refer to the variations in the electronic-state energies and electronic couplings, respectively

#### **Dynamic disorder** (Electron-phonon interaction)



- From the continuous motion of nuclei
- Dynamically vary at a short time scale (fs to ps)

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### The influences of disorders on charge carrier transport





Nan et al. Phys. Rev. B 2009, 79, 115203. Asadi et al. Nat. Commun. 2013, 4, 1710. Troisi et al. Phys. Rev. Lett. 2006, 96, 086601. Ciuchi et al. Phys. Rev. B 2011, 83, 081202.

#### Electron-phonon interaction in anatase TiO<sub>2</sub>



Verdi and Giustino, Phys. Rev. Lett. 2015, 115, 176401.

#### **Boltzmann transport equation**

#### Hopping model for TiO<sub>2</sub>



Deskin et al. Phys. Rev. B 2007, 75, 195212. Spreafico et al. Phys. Chem. Chem. Phys. 2014, 16, 26144. 2 / 22

### Quantum dynamics beyond perturbation approximation

#### **Currently available methods**

**Numerically exact:** ML-MCTDH, TD-DMRG, HEOM, QUAPI, hierarchy of SSE, ...

#### **Approximate:**

Mixed quantum-classical approach, quantum master equation, perturbative SSE, ...

#### **Requirements for simulations in semiconductors**

- **Treating various types of disorders nonperturbatively**
- **□** Free of finite-size effects and applicable to 3D systems
- **G** Flexible to be combined with first-principles calculations
- Full quantum dynamics



These requirements can be achieved much easier in imaginary time

### Matsubara formalism and Diagrammatic QMC

#### Imaginary-time data Analytic continuation Real-time data

#### **Basic idea of DQMC**



#### **Current autocorrelation function**

$$G_{\alpha\beta}(\tau) = \frac{1}{Z} \operatorname{Tr} \left\{ e^{\tau \hat{H}} \hat{J}_{\alpha} e^{-\tau \hat{H}} \hat{J}_{\beta} e^{-\beta \hat{H}} \right\}$$

$$G_{\alpha\alpha}(\tau) = \frac{1}{\pi} \int_{-\infty}^{+\infty} \mathrm{d}\omega \frac{\omega e^{-\tau\omega}}{1 - e^{-\beta\omega}} \frac{\text{Optical conductivity}}{\sigma_{\alpha}(\omega)}$$

#### **One-particle Green's function**

$$C_{n\mathbf{k}}(\tau) = \frac{1}{Z} \langle \operatorname{vac} | \operatorname{Tr}_{ph} \{ e^{\tau \hat{H}} \hat{c}_{n\mathbf{k}} e^{-\tau \hat{H}} \hat{c}_{n\mathbf{k}}^{\dagger} e^{-\beta \hat{H}} \} | \operatorname{vac} \rangle$$
$$\sum_{n} C_{n\mathbf{k}}(\tau) = \int_{-\infty}^{\infty} \mathrm{d}\omega \frac{e^{-\tau \omega}}{e^{-\beta \omega} + 1} \frac{\mathsf{Spectral function}}{\mathcal{A}(\mathbf{k}, \omega)}$$

G. D. Mahan, Many-particle physics (Springer Science & Business Media, 2013)

Beard et al. Phys. Rev. Lett. 1996, 77, 5130.; Prokof'ev et al. JETP Lett. 1996, 64, 911; Prokof'ev et al. Sov. Phys. JETP, 1998, 87, 310; Mishchenko et al. Phys. Rev. B 2000, 62, 6317.

#### **DQMC study on Holstein model**



Mishchenko et al. Phys. Rev. Lett. 2015, 114, 146401.



#### Parameters can be directly obtained from DFT and DFPT

Baroni et al., Rev. Mod. Phys., 73, 515, 2001; Giustino, Rev. Mod. Phys., 89, 015003, 2017.

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 $C_2$ 

Y<sub>2</sub>

G

ΖD

B G A

Е

$$\begin{aligned} Zero-order term: \ \hat{H}_{0} &= \ \hat{H}_{el} + \ \hat{H}_{ph} \quad \text{Interaction term: } \ \hat{V} &= \ \hat{H}_{el-ph} = \sum_{\nu \mathbf{q}} A_{\nu \mathbf{q}}^{\dagger} \otimes \hat{B}_{\nu \mathbf{q}} \\ \hline \mathbf{Expanding Partition function into infinite series} \\ Z &= \ \mathrm{Tr} \{ e^{-\beta \hat{H}} \} = \sum_{\kappa=0}^{\infty} \int_{0}^{\beta} \mathrm{d}\tau_{2\kappa} \int_{0}^{\tau_{2\kappa}} \mathrm{d}\tau_{2\kappa-1} \cdots \int_{0}^{\tau_{2}} \mathrm{d}\tau_{1} \operatorname{Tr} \left\{ e^{-\beta \hat{H}_{0}} \mathcal{T}_{+} \hat{V}(\tau_{2\kappa}) \cdots \hat{V}(\tau_{1}) \right\} \\ &= \sum_{\kappa=0}^{\infty} \sum_{\nu_{1}\mathbf{q}_{1}} \cdots \sum_{\nu_{2\kappa}\mathbf{q}_{2\kappa}} \int_{0}^{\beta} \mathrm{d}\tau_{2\kappa} \cdots \int_{0}^{\tau_{2}} \mathrm{d}\tau_{1} \operatorname{Tr} \left\{ e^{-\beta \hat{H}_{el}} \mathcal{T}_{+} \hat{A}_{\nu_{2\kappa}\mathbf{q}_{2\kappa}}^{\dagger}(\tau_{2\kappa}) \cdots \hat{A}_{\nu_{1}\mathbf{q}_{1}}^{\dagger}(\tau_{1}) \right\} \\ \hline \hat{A}_{\nu \mathbf{q}}^{\dagger} = N^{-\frac{3}{2}} \sum_{nmk} g_{nmk \mathbf{q}\nu} \hat{c}_{n,\mathbf{k}+\mathbf{q}}^{\dagger} \hat{c}_{m\mathbf{k}} \\ Z &= \sum_{\kappa=0}^{\infty} \sum_{\mathbf{k}_{1}} \sum_{mnk} g_{nmk \mathbf{q}\nu} \hat{c}_{n,\mathbf{k}+\mathbf{q}}^{\dagger} \hat{c}_{m\mathbf{k}} \\ Z &= \sum_{\kappa=0}^{\infty} \sum_{\mathbf{k}_{1}} \sum_{mnk} g_{nmk \mathbf{q}\nu} \hat{c}_{n,\mathbf{k}+\mathbf{q}}^{\dagger} \hat{c}_{m\mathbf{k}} \\ Z &= \sum_{\kappa=0}^{\infty} \sum_{\mathbf{k}_{1}} \sum_{mn \cdots m_{2\kappa}} \sum_{\nu_{1}\mathbf{q}_{1}} \cdots \sum_{\nu_{2\kappa}\mathbf{q}_{2\kappa}} \int_{0}^{\beta} \mathrm{d}\tau_{2\kappa} \cdots \int_{0}^{\tau_{2}} \mathrm{d}\tau_{1} \underline{\delta}_{\mathbf{q}_{1}+\dots+\mathbf{q}_{2\kappa}, \mathbf{0}} \\ X &= \sum_{\kappa=0}^{\infty} \sum_{\mathbf{k}_{1}} \sum_{mn \cdots m_{2\kappa}} \sum_{\nu_{1}\mathbf{q}_{1}} \cdots \sum_{\nu_{2\kappa}\mathbf{q}_{2\kappa}} \int_{0}^{\beta} \mathrm{d}\tau_{2\kappa} \cdots \int_{0}^{\tau_{2}} \mathrm{d}\tau_{1} \underline{\delta}_{\mathbf{q}_{1}+\dots+\mathbf{q}_{2\kappa}, \mathbf{0}} \\ X &= \sum_{\kappa=0}^{\infty} \sum_{\mathbf{k}_{1}} \sum_{mn \cdots m_{2\kappa}} \sum_{\nu_{1}\mathbf{q}_{1}} \cdots \sum_{\nu_{2\kappa}\mathbf{q}_{2\kappa}} \int_{0}^{\beta} \mathrm{d}\tau_{2\kappa} \cdots \int_{0}^{\tau_{2}} \mathrm{d}\tau_{1} \underline{\delta}_{\mathbf{q}_{1}+\dots+\mathbf{q}_{2\kappa}, \mathbf{0}} \\ X &= \sum_{\kappa=0}^{\infty} \sum_{\mathbf{k}_{1}} \sum_{mn \cdots m_{2\kappa}} \sum_{\nu_{1}\mathbf{q}_{1}} \cdots \sum_{\nu_{2\kappa}\mathbf{q}_{2\kappa}} \int_{0}^{\beta} \mathrm{d}\tau_{2\kappa} \cdots \int_{0}^{\tau_{2}} \mathrm{d}\tau_{1} \underline{\delta}_{\mathbf{q}_{1}+\dots+\mathbf{q}_{2\kappa}, \mathbf{0}} \\ X &= \sum_{\kappa=0}^{\infty} \sum_{\mathbf{k}_{1}} \sum_{mn \cdots m_{2\kappa}} \sum_{\nu_{1}\mathbf{q}_{1}} \cdots \sum_{\nu_{2\kappa}\mathbf{q}_{2\kappa}} \sum_{mn \cdots m_{2\kappa}} \int_{0}^{\beta} \mathrm{d}\tau_{2\kappa} \cdots \int_{0}^{\tau_{2}} \mathrm{d}\tau_{1} \underline{\delta}_{\mathbf{q}_{1}+\dots+\mathbf{q}_{2\kappa}, \mathbf{0}} \\ X &= \sum_{\kappa=0}^{\infty} \sum_{\mathbf{k}_{1}} \sum_{\mathbf{k}_{2}} \sum_{\mathbf{k}_{2}}$$

#### Thermal average over multiple products of phonon coordinates Wick's theorem: = All possible pairwise combinations of binary thermal averages $\langle \mathcal{T}_{+}\hat{B}_{\nu_{1}\mathbf{q}_{1}}(\tau_{1})\cdots\hat{B}_{\nu_{2\kappa}\mathbf{q}_{2\kappa}}(\tau_{2\kappa})\rangle_{ph}$ **Binary thermal average:** $= \sum \langle \mathcal{T}_{+} \hat{B}_{\nu_{i_1} \mathbf{q}_{i_1}}(\tau_{i_1}) \hat{B}_{\nu_{i_2} \mathbf{q}_{i_2}}(\tau_{i_2}) \rangle_{ph} \times \cdots$ $\langle \mathcal{T}_{+} B_{\nu \mathbf{q}}(\tau) B_{\nu' \mathbf{q}'}(\tau') \rangle_{ph} = \delta_{\nu \nu'} \delta_{\mathbf{q},-\mathbf{q}'} \alpha_{\nu \mathbf{q}}(|\tau - \tau'|)$ all possible Free phonon propagator: pairings $\times \langle \mathcal{T}_{+}\hat{B}_{\nu_{i_{2\mu-1}}\mathbf{q}_{i_{2\mu-1}}}(\tau_{i_{2\kappa-1}})\hat{B}_{\nu_{i_{2\mu}}\mathbf{q}_{i_{2\mu}}}(\tau_{i_{2\kappa}})\rangle_{ph} \quad \alpha_{\nu\mathbf{q}}(\tau) = n_{\nu\mathbf{q}}e^{\tau\omega_{\nu\mathbf{q}}} + (n_{\nu\mathbf{q}}+1)e^{-\tau\omega_{\nu\mathbf{q}}}e^{\tau\omega_{\nu\mathbf{q}}}$ $Z = \sum_{\kappa=0}^{\infty} \sum_{\mathbf{k}_1} \sum_{m_1 \cdots m_{2\kappa}} \sum_{\nu_1 \mathbf{q}_1} \cdots \sum_{\nu_{2\kappa} \mathbf{q}_{2\kappa}} \int_0^\beta \mathrm{d}\tau_{2\kappa} \cdots \int_0^{\tau_2} \mathrm{d}\tau_1 N^{-3\kappa} Z_{ph} \left[ \prod_{l=1}^{2\kappa} g_{m_{l+1}m_l \mathbf{k}_l \mathbf{q}_l \nu_l} \right] \exp\left\{ -\sum_{l=1}^{2\kappa} (\tau_l - \tau_{l-1}) \epsilon_{m_l \mathbf{k}_l} \right\}$ $\times \sum \delta_{\nu_{i_1}\nu_{i_2}} \delta_{\mathbf{q}_{i_1},-\mathbf{q}_{i_2}} \cdots \delta_{\nu_{i_{2\kappa-1}}\nu_{i_{2\kappa}}} \delta_{\mathbf{q}_{i_{2\kappa-1}},-\mathbf{q}_{i_{2\kappa}}} \alpha_{\nu_{i_1}\mathbf{q}_{i_1}} (\tau_{i_1}-\tau_{i_2}) \cdots \alpha_{\nu_{i_{2\kappa-1}}\mathbf{q}_{i_{2\kappa-1}}} (\tau_{i_{2\kappa-1}}-\tau_{i_{2\kappa}})$ all possible pairings

$$Z = \sum_{\kappa=0}^{\infty} \sum_{\mathbf{k}_{1}} \sum_{m_{1}\cdots m_{2\kappa}} \sum_{\nu_{1}\mathbf{q}_{1}} \cdots \sum_{\nu_{2\kappa}\mathbf{q}_{2\kappa}} \int_{0}^{\beta} \mathrm{d}\tau_{2\kappa} \cdots \int_{0}^{\tau_{2}} \mathrm{d}\tau_{1} N^{-3\kappa} Z_{ph} \left[ \prod_{l=1}^{2\kappa} g_{m_{l+1}m_{l}\mathbf{k}_{l}\mathbf{q}_{l}\nu_{l}} \right] \exp\left\{ -\sum_{l=1}^{2\kappa} (\tau_{l} - \tau_{l-1})\epsilon_{m_{l}\mathbf{k}_{l}} \right\}$$

$$\times \sum_{\substack{\lambda = 1 \text{ possible} \\ \text{pairings}}} \delta_{\nu_{i_{1}}\nu_{i_{2}}} \delta_{\mathbf{q}_{i_{1}},-\mathbf{q}_{i_{2}}} \cdots \delta_{\nu_{i_{2\kappa-1}}\nu_{i_{2\kappa}}} \delta_{\mathbf{q}_{i_{2\kappa-1}},-\mathbf{q}_{i_{2\kappa}}} \alpha_{\nu_{i_{1}}\mathbf{q}_{i_{1}}} (\tau_{i_{1}} - \tau_{i_{2}}) \cdots \alpha_{\nu_{i_{2\kappa-1}}\mathbf{q}_{i_{2\kappa-1}}} (\tau_{i_{2\kappa-1}} - \tau_{i_{2\kappa}})$$

Recast into a form suitable for the Monte Carlo implementation:  $Z = \sum_{i=1}^{n} Z_{i}$ 

$$Z = \sum_{\kappa=0}^{\infty} \sum_{\mathbf{x}_{\kappa}} \mathcal{Z}(\mathbf{x}_{\kappa})$$

 $\begin{array}{l} \text{Monte Carlo} \\ \text{configuration space} \end{array} \mathbf{x}_{\kappa} \equiv (\mathbf{k}_1; m_1, \cdots, m_{2\kappa}; \nu_{i_1}, \mathbf{q}_{i_1}, \tau_{i_1}, \tau_{i_2}; \nu_{i_3}, \mathbf{q}_{i_3}, \tau_{i_3}, \tau_{i_4}; \cdots; \nu_{i_{2\kappa-1}}, \mathbf{q}_{i_{2\kappa-1}}, \tau_{i_{2\kappa-1}}, \tau_{i_{2\kappa}}) \end{array}$ 

$$\begin{split} & \underset{\mathbf{MC} \text{"grids"}}{\text{Summation over}} \quad \sum_{\mathbf{x}_{\kappa}} \equiv \sum_{\mathbf{k}_{1}} \sum_{m_{1}\cdots m_{2\kappa}} \int_{0}^{\beta} \int_{0}^{\tau_{2\kappa}} \cdots \int_{0}^{\tau_{2}} \sum_{\substack{\text{all possible } \nu_{i_{1}} \mathbf{q}_{i_{1}} \\ \text{pairings}}} \sum_{\nu_{i_{3}} \mathbf{q}_{i_{3}}} \cdots \sum_{\nu_{i_{2\kappa-1}} \mathbf{q}_{i_{2\kappa-1}}} \right] \\ & \underset{\text{concrete expression at each MC "grid"}}{\text{Summatrix}} \quad \mathcal{Z}(\mathbf{x}_{\kappa}) = N^{-3\kappa} Z_{ph} d\tau_{1} \cdots d\tau_{2\kappa} \left[ \prod_{l=1}^{2\kappa} g_{m_{l+1}m_{l}\mathbf{k}_{l}\mathbf{q}_{l}\nu_{l}} \right] \exp\left\{ -\sum_{l=1}^{2\kappa} (\tau_{l} - \tau_{l-1})\epsilon_{m_{l}\mathbf{k}_{l}} \right\} \\ & \times \alpha_{\nu_{i_{1}}\mathbf{q}_{i_{1}}} (\tau_{i_{1}} - \tau_{i_{2}}) \cdots \alpha_{\nu_{i_{2\kappa-1}}\mathbf{q}_{i_{2\kappa-1}}} (\tau_{i_{2\kappa-1}} - \tau_{i_{2\kappa}}) \end{split}$$

Basic updates for MC configurations: Change the end electronic crystal momentum

#### The calculation of the acceptance ratio is very simple and fast

Basic updates for MC configurations: Insert or remove an interaction vertex pair



Acceptance ratio for inserting:

$$R = \frac{\beta^2 N_b^2 N_{ph}^2}{6} \frac{|g_1' g_2' g_3' g_4'|}{|g_1 g_2|} \times e^{-\Delta(\beta\epsilon)} \alpha_{\nu \mathbf{q}} (\tau - \tau')$$

 $N_b$  is the number of electronic bands  $N_{ph}$  is the number of phonon branches

The computational cost is independent of the total number of unit cells N.





This expression is analytic and may be suitable for the Monte Carlo implementation ...

But most of the terms cancel out with each other to give a zero average due to the random nature of  $D_{\mu q}$ .

#### Must find a way to pick out the terms that really contribute to Z ...



Terms in which all  $D_{\mu q}$  are in pairwise combination with each other contribute to Z.

$$Z = \sum_{\kappa=0}^{\infty} \sum_{\mathbf{k}_{1}} \sum_{m_{1}\cdots m_{2\kappa}} \sum_{\mu_{1}\mathbf{q}_{1}} \cdots \sum_{\mu_{2\kappa}\mathbf{q}_{2\kappa}} \int_{0}^{\beta} \mathrm{d}\tau_{2\kappa} \cdots \int_{0}^{\tau_{2}} \mathrm{d}\tau_{1} N^{-3\kappa} \left[ \prod_{l=1}^{2\kappa} f_{m_{l+1}m_{l}\mathbf{k}_{l}\mathbf{q}_{l}\mu_{l}} \right] \exp\left\{ -\sum_{l=1}^{2\kappa} (\tau_{l} - \tau_{l-1})\epsilon_{m_{l}\mathbf{k}_{l}} \right\}$$

$$\times \sum_{\substack{\text{all possible pairings}}} \delta_{\mu_{i_{1}}\mu_{i_{2}}} \delta_{\mathbf{q}_{i_{1}},-\mathbf{q}_{i_{2}}} \cdots \delta_{\mu_{i_{2\kappa-1}}\mu_{i_{2\kappa}}} \delta_{\mathbf{q}_{i_{2\kappa-1}},-\mathbf{q}_{i_{2\kappa}}} |D_{\mu_{i_{1}}\mathbf{q}_{i_{1}}}|^{2} \cdots |D_{\mu_{i_{2\kappa-1}}\mathbf{q}_{i_{2\kappa-1}}}|^{2} + \mathcal{O}(N^{-\frac{3}{2}})$$



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Average diagram order linearly depends on 1/T. Negligible sign problem for nonlocal dynamic disorder.



#### **Nonlocal dynamic disorder strongly suppresses** the real-space coherence of the charge carrier.



Average diagram order linearly depends on  $\lambda$ .

Significant sign problem for very large  $\lambda_{non}$  (>20).



**Dual role** of nonlocal disorder on coherence

Wang and Zhao, arXiv:2203.12480, 2022.



Average diagram order quadratically depends on 1/T or  $\Delta$ .

Sign problem is more significant for (nonlocal) static disorder



Influence of static disorder emerges at low temperatures

Imaginary-time (left) and imaginary-frequency (right) current autocorrelation functions



$$G_{\alpha\beta}(\tau) = \frac{1}{Z} \operatorname{Tr} \left\{ e^{\tau \hat{H}} \hat{J}_{\alpha} e^{-\tau \hat{H}} \hat{J}_{\beta} e^{-\beta \hat{H}} \right\}$$

$$\widetilde{G}_{\alpha\beta}(i\omega_n) = \int_0^\beta \mathrm{d}\tau e^{i\omega_n\tau} G_{\alpha\beta}(\tau)$$

Converged DQMC results can be obtained in the regimes from weak to strong disorder strengths for a broad temperature range.

 $\lambda_{loc}=5, \Delta_{loc}=0, DQMC$  $\lambda_{loc}=10, \Delta_{loc}=0, DQMC$ 

 $\lambda_{loc}=5, \Delta_{loc}=2, DQMC$ 

=5,  $\Delta_{loc}$ =5, DOMC

=10, Δ<sub>loc</sub>=5, DQMC

=5, Δloc=0, Polaron

=10,  $\Delta_{loc}$ =0, Polaron

 $\lambda_{loc}=5, \Delta_{loc}=2, Polaron$  $\lambda_{loc}=5, \Delta_{loc}=5, Polaron$ 

 $\lambda_{loc}=10, \Delta_{loc}=5, Polaron$ 

 $\lambda_{loc}=1, \Delta_{loc}=0, DQMC$ 

 $\lambda_{loc}=5, \Delta_{loc}=0, DQMC$ 

 $-\lambda_{loc}=1, \Delta_{loc}=2, DQMC$ 

 $-\lambda_{loc}=5, \Delta_{loc}=5, DQMC$ 

10

8



1

2

3

6

 $\omega_n$ 

 $\boldsymbol{\omega}_n$ 

2

 $T = 2, V = 0.01, \omega_c = 1, \lambda_{non} = \Delta_{non} = 0.01$ 

 $\widetilde{G}(i\omega_n)$ 

Converged DQMC results can be obtained in the regimes from weak to strong disorder strengths for a broad temperature range.

 $G_{\alpha\beta}(\tau) = \frac{1}{Z} \operatorname{Tr} \left\{ e^{\tau \hat{H}} \hat{J}_{\alpha} e^{-\tau \hat{H}} \hat{J}_{\beta} e^{-\beta \hat{H}} \right\}$ 

 $\widetilde{G}_{\alpha\beta}(i\omega_n) = \int_0^\rho \mathrm{d}\tau e^{i\omega_n\tau} G_{\alpha\beta}(\tau)$ 



0.4

0.3

**(a)** 

0.08

0.06

0.04

0.02

0

0

**(b)** 

15

10

5

0

G( au)

0.2

τ

0.3

0.4

0.5

0.5

 $T = 2, V = \omega_c = 1, \lambda_{non} = 1, \Delta_{non} = 0.5$ 

 $\widetilde{\mathcal{G}}(i\omega_n)$ 

3

2

1

0.1

0.1

0.2

τ

 $G(\tau)$ 





\*SOM: stochastic optimization method for numerical analytic continuation

#### DQMC+SOM can give accurate mobilities in strong disorder regimes.

Significant errors may exist at some temperatures for the nuclear tunneling regime

# Summary

DQMC for the accurate and efficient calculations of charge carrier transport properties in disordered semiconductors.

- ✓ Treating various types of disorders nonperturbatively
- ✓ Free of finite-size effects and applicable to 3D systems
- ✓ Full quantum dynamics
- ✓ Flexible to be combined with first-principles calculations

#### Next step:

□ Interpolation of DFT/DFPT parameters to infinitely large-sized systems

Parameterization of the static disorder

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