Non-adiabatic Dynamics Simulations of Single-Walled Carbon Nanotubes with Topological sp3-defects: An On-the-fly NEXMD Study





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Outline

- Background / Open Questions
 - Thermally activated dynamical exciton trapping/de-trapping
 - > Dynamical coupling of pristine and defect-localized excitonic manifold
 - Chemical nature of defects affects excitonic population
- Atomistic Models and Non-adiabatic Evolution
 # Atoms ~ 400 with excitonic effects
- Results and Discussion
 - Strong dependence on defect orientation and composition
- Further Directions

Carbon Nanotubes – A Brief History

Pristine SWCNTs



• Dipole-forbidden emission from S₁

Carbon Nanotubes – A Brief History

ullet



• Dipole-forbidden emission from S_1

Allowed S₀/S₁ transition
 ➢ Symmetry breaking from defect

GS



Defect Leads to Redshift in Emission



Defect-Defect Coupling





Different Orientations

Lin et al. Nat. Comm., 10, 2874 (2019) He et al. ACS Nano 2017, 11, 10785–10796 Zheng et al. ACS Nano 2021, 15, 923–933 Kwon et al. J. Am. Chem. Soc. 2016, 138, 6878–6885



Chemical Composition

Open Questions

- Redistribution among dark defect states
 Non-adiabatic coupling between dark states
- Thermally activated dynamical exciton trapping/de-trapping
 Non-adiabatic coupling to band-edge exciton
- How do topological changes to the excitonic potential energy landscape (stemming from defect orientation and composition) affect the excitonic populations during relaxation?





Model and Methods

• Hamiltonian:

- Semi-empirical AM1
- Excited States:
 - RPA Equations in CIS Approximation
 - Solutions for transition density matrices provided by the collective oscillator (CEO) method
- Non-adiabatic Molecular Dynamics:
 - NEXMD Package
 - Fewest Switches Surface Hopping (FSSH)
 - Instantaneous Decoherence Corrections
 - Unavoided Crossing Detection
 - Linear Response Solvation
 - Microcanonical Ensemble (NVE)



Initial Conditions

- Ground State Nuclear Wavepacket
 - NVT Ensemble, T = 300 K
 - 300 trajectories
- Initial Electronic Configuration

• $\hat{\rho}_{el.} = |S_k| > < S_k|$, $k = 2 (E_{11} \text{ Exciton})$

• Initial Wavefunction

• $\hat{\rho}_{o} = \hat{\rho}_{R} \bigotimes \hat{\rho}_{el.}$

<u>Fewest Switches Surface Hopping (FSSH)</u> $|\Psi(\mathbf{R}(t))\rangle = \sum_{\alpha} c_{\alpha}(t) |\alpha(\mathbf{R}(t))\rangle$

$$\dot{c}_j = -ic_j E_j - \sum_k c_k \vec{R} \cdot \vec{d}_{jk}$$

$$\vec{d}_{jk} = \langle j | \vec{\nabla}_{R} | k \rangle \qquad g_{jk} = \Delta t \frac{c_{jk} (t)}{c_{kk} (t)}$$







Real-Space Projected Transition Density



Oscillations In Transition Density Come from C-C Bond Stretching

200

50

S0

S1

S2

400



Excitonic Population

Single-exponential Fit:

 $S_2(t) \sim exp[- t/\tau_{12}]$

- Strongly chirality-dependent
 - (11,0) shows uniquely fast relaxation ($\theta = 0^{\circ}$)
- Strongly dependent on chemical composition
 - Alkyl and Aryl defects act similarly
 - Halide attachment produces fast relaxation
 - Ortho(-) coupled with halide produces largest change from alkyl/aryl rate



Gap law is broken for fluorine defects



NACT and VH·V Probability Distributions



- Before and after the hop, the NACT is nearly equivalent
- $\nabla H \cdot V$ shows changes in distribution after transferring to lower adiabatic state
 - Minimum of PES changes

NACT Probability Distribution



Local Charge Distribution Strongly Affected by Direct Fluorine Attachment



Kwon et al. J. Am. Chem. Soc. 2016, 138, 6878–6885 Weight et al. J. Phys. Chem. C 2021, 125, 4785–4793

Conclusions and Future Work

- Performed on-the-fly non-adiabatic dynamics simulations of large nanostructures
 - ➤ # Atoms ~ 400
 - Excitonic Effects
 - > AM1 Semi-empirical Hamiltonian
- Extracted population dynamics and made structure property correspondence
 - Fluorinated defects strongly alter the local charge distribution, allowing for increased NACT
 Zig-zag SWCNTs may exhibit fast relaxation compared to chiral SWCNTs

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