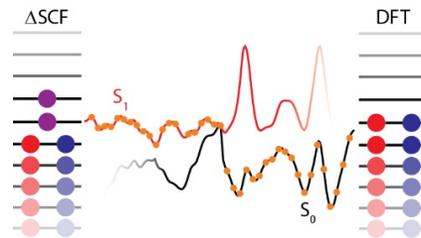


# $\Delta$ SCF for Efficient Nonadiabatic Molecular Dynamics in Condensed Phase Systems

Momir Mališ

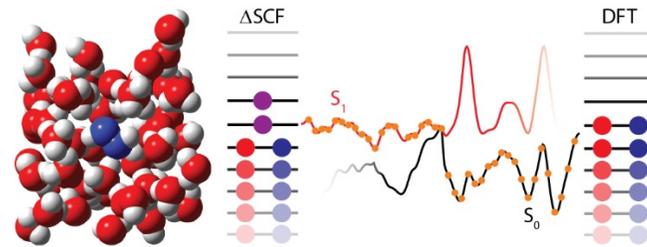
Group of Prof. Sandra Lubber

Department of Chemistry, University of Zurich, Switzerland



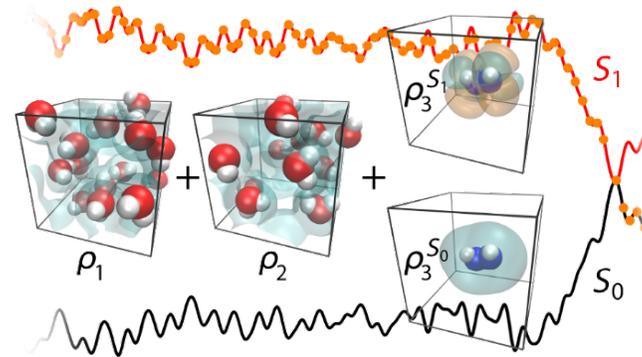
# Outline

## $\Delta$ SCF for Nonadiabatic Molecular Dynamics (NA-MD) in condensed phase systems



*J. Chem. Theory Comput.* **16** (2020) 4071

## $\Delta$ SCF NA-MD with Subsystem Density Embedding (SDE)



*J. Chem. Theory Comput.* **17** (2021) 1653

# Motivation

NA processes in condensed phase systems

Trajectory Surface Hopping (TSH) NA-MD

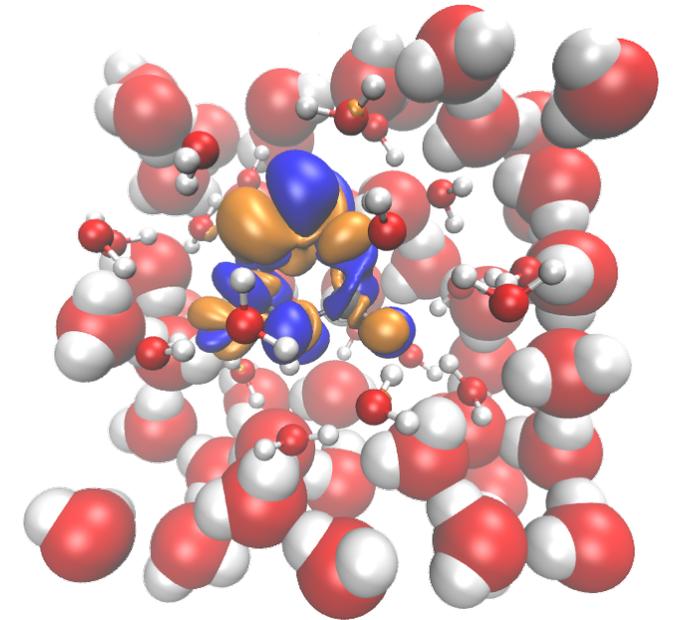
Computationally challenging for all-atom simulations

Density Functional Theory (DFT) based methods

Perturbative – Linear-Response (LR) Time-Dependent (TD) DFT

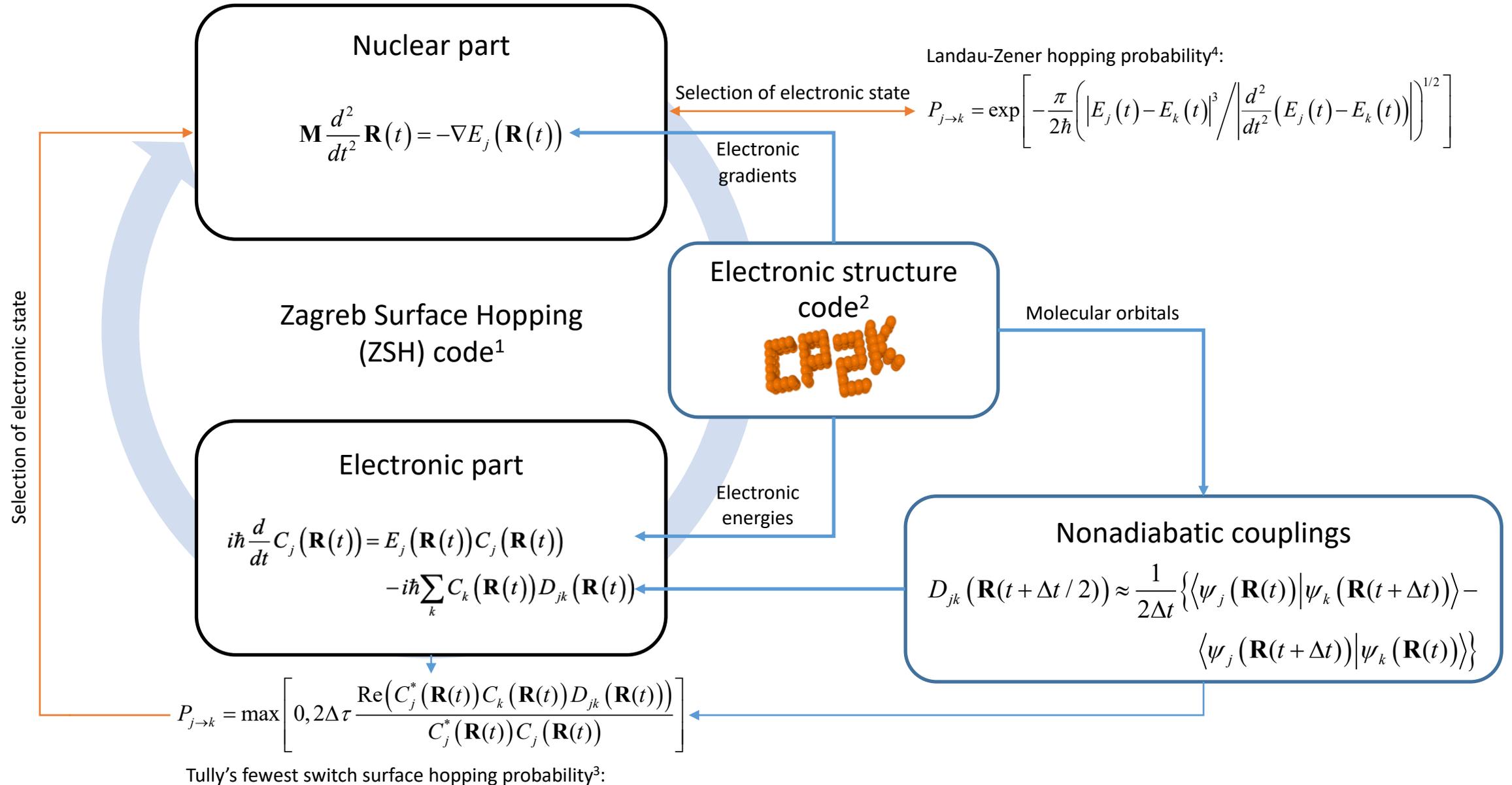
Perturbative – Real-Time (RT) TD-DFT

Variational – Delta Self-Consistent Field ( $\Delta$ SCF)



Excited uracil in water

# Trajectory Surface Hopping (TSH) NA-MD



# Delta Self-Consistent Field ( $\Delta$ SCF) theory

Direct application of ground state DFT concepts to excited electronic states

$$|\Psi_0\rangle \Leftrightarrow \rho_0(\vec{r}) \Leftrightarrow V_{xc}^0[\rho_0]$$

Ground electronic state

$$|\Psi_i\rangle \Leftrightarrow \rho_i(\vec{r}) \sim V_{xc}^0[\rho_i]$$

Excited  $i$ -th electronic state

Kohn-Sham (KS) DFT formulation

Optimize the electron density to match an excited state electron density

$$\rho_i(\vec{r}) = \sum_{\sigma=\{\alpha,\beta\}}^j n_{j\sigma}^i \left| \varphi_{j\sigma}^i(\vec{r}) \right|^2 \Leftrightarrow \rho_i(\vec{r}) = \int \bar{\Psi}_i(\vec{r}, \vec{r}_1, \dots) \Psi_i(\vec{r}, \vec{r}_1, \dots) d\vec{r}_1 \dots$$

MO occupation number

KS molecular orbital (KS-MO)

$E_i[\rho_i]$

Variety of different  $\Delta$ SCF density constructions and optimization flavors<sup>1</sup>

Multiplicity of excited electronic state

# ΔSCF singlet excited state

$$\text{mod}(N_e, 2) = 0$$

Total number of electrons in system

$$\hat{S}_{\text{tot}} |\Psi_i\rangle = 0$$

Total electron spin moment

$$\hat{S}_{\text{tot},z} |\Psi_i\rangle = 0$$

Total electron spin moment projection

$$\rho_i(\vec{r}) = \rho_{i\alpha}(\vec{r}) + \rho_{i\beta}(\vec{r})$$

$$\rho_{i\alpha}(\vec{r}) - \rho_{i\beta}(\vec{r}) = 0$$

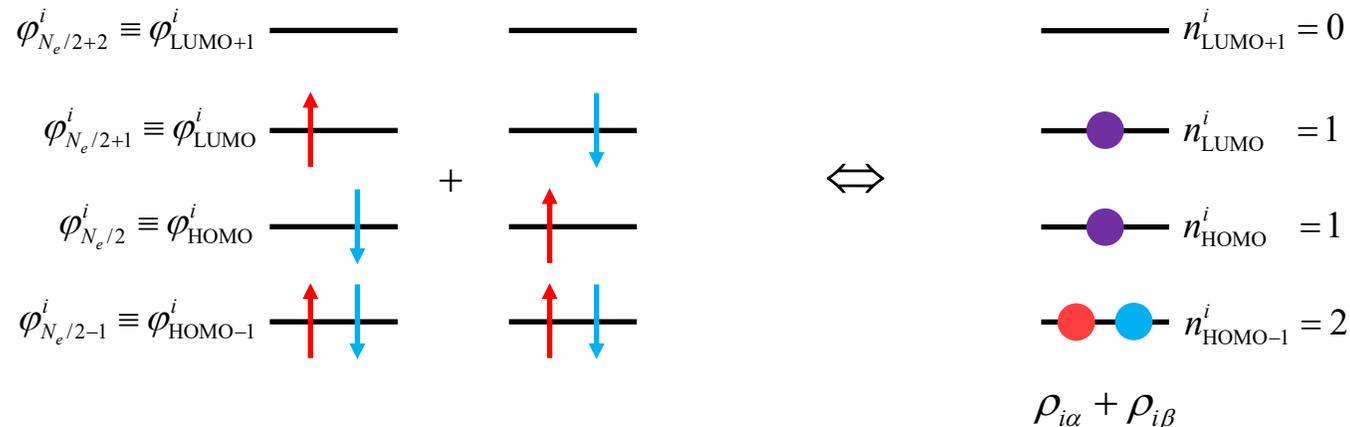
$$v_{\text{xc}}[\rho_{i\alpha}, \rho_{i\beta}]$$

$$\varphi_{j\alpha}^i(\vec{r}) = \varphi_{j\beta}^i(\vec{r})$$

Restricted (open) KS formulation

$$|\Psi_{Si}\rangle = \frac{1}{\sqrt{2}} ( |\psi_{j\alpha \rightarrow a\alpha}^i\rangle + |\psi_{j\beta \rightarrow a\beta}^i\rangle )$$

Singlet excited state



$$|\psi_{j\alpha \rightarrow a\alpha}^i\rangle = \frac{1}{\sqrt{N_e}} \begin{vmatrix} \varphi_1^i(1)\alpha(1) & \cdots & \varphi_a^i(1)\alpha(1) & \cdots & \varphi_{N_e/2}^i(1)\beta(1) \\ \varphi_1^i(2)\alpha(2) & \cdots & \varphi_a^i(2)\alpha(2) & \cdots & \varphi_{N_e/2}^i(2)\beta(2) \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ \varphi_1^i(N_e)\alpha(N_e) & \cdots & \varphi_a^i(N_e)\alpha(N_e) & \cdots & \varphi_{N_e/2}^i(N_e)\beta(N_e) \end{vmatrix}$$

Single excited Slater determinant

$$|\Psi_0\rangle = \frac{1}{\sqrt{N_e}} \begin{vmatrix} \varphi_1^0(1)\alpha(1) & \cdots & \varphi_j^0(1)\alpha(1) & \cdots & \varphi_{N_e/2}^0(1)\beta(1) \\ \varphi_1^0(2)\alpha(2) & \cdots & \varphi_j^0(2)\alpha(2) & \cdots & \varphi_{N_e/2}^0(2)\beta(2) \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ \varphi_1^0(N_e)\alpha(N_e) & \cdots & \varphi_j^0(N_e)\alpha(N_e) & \cdots & \varphi_{N_e/2}^0(N_e)\beta(N_e) \end{vmatrix}$$

Ground electron state

# $\Delta$ SCF implementation

Restricted KS with integer occupation numbers (2,1,0)  $\leftrightarrow$  Single-reference singlet excitation

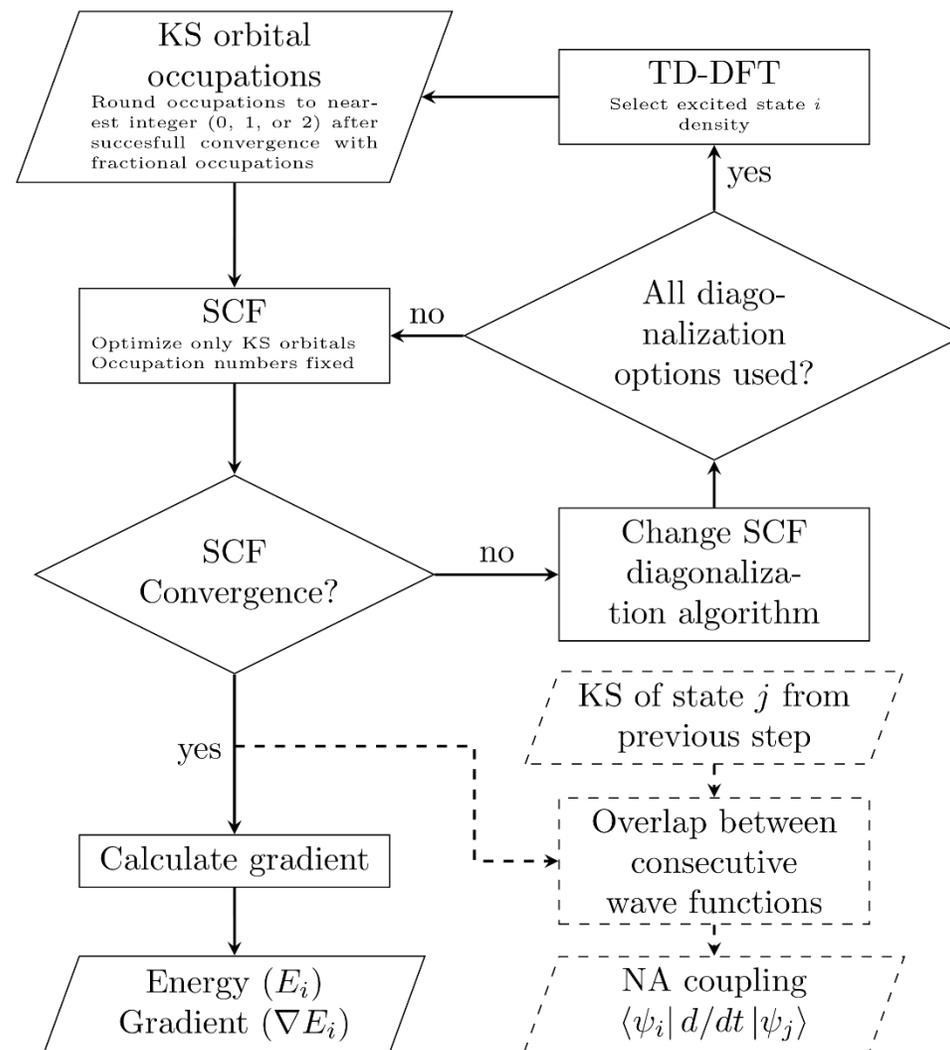
Elaborated SCF convergence procedure

Updating occupation numbers with TD-DFT

$$\left(n_j^i\right)' = n_j^i - \sum_a \left(X_{ja}^i\right)^2 \quad \left(n_a^i\right)' = \sum_j \left(X_{ja}^i\right)^2$$

Tamm-Dancoff TD-DFT transition amplitudes

TD-DFT for initial occupation numbers and excited state verification

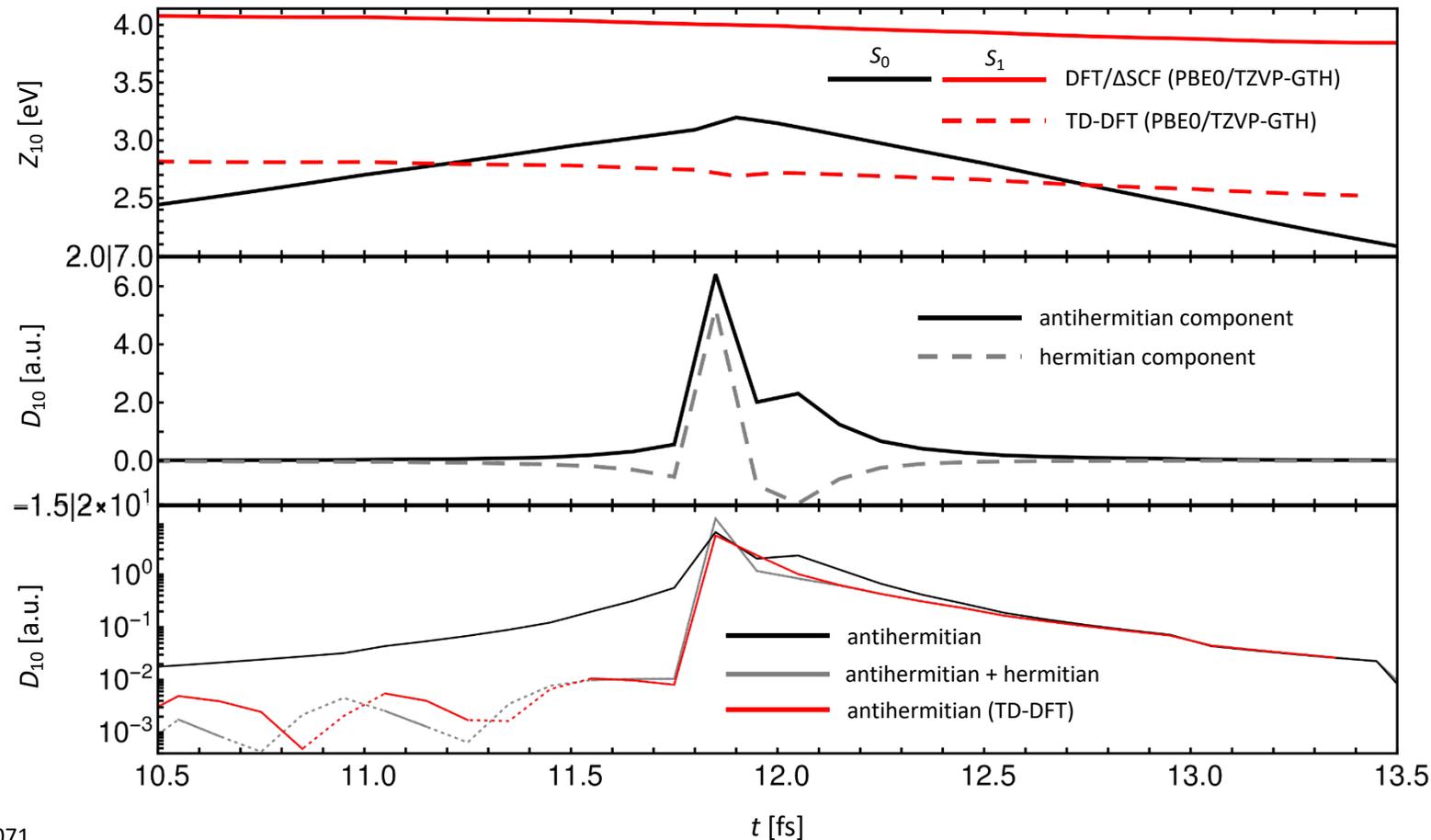


# $\Delta$ SCF NA coupling

Nonorthogonality between KS MO of different excited electronic states

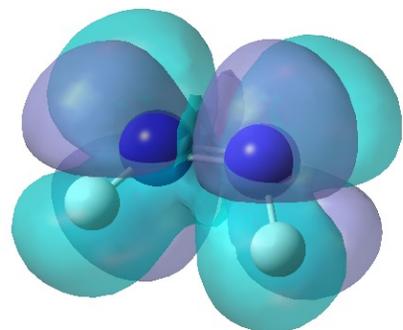
$$\langle \phi_p^i | \phi_q^j \rangle \neq \delta_{pq}$$

$$D'_{jk}(t + \Delta t / 2) \approx \underbrace{\frac{1}{2\Delta t} \left\{ \langle \Psi_j(t) | \Psi_k(t + \Delta t) \rangle - \langle \Psi_j(t + \Delta t) | \Psi_k(t) \rangle \right\}}_{\text{antihermitian}} + \underbrace{\frac{1}{2\Delta t} \left\{ \langle \Psi_j(t + \Delta t) | \Psi_k(t + \Delta t) \rangle - \langle \Psi_j(t) | \Psi_k(t) \rangle \right\}}_{\text{hermitian}}$$

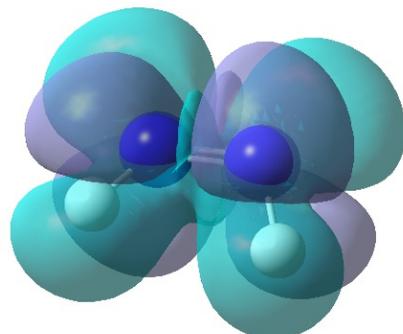


# Systems - Diimide ( $\text{N}_2\text{H}_2$ )

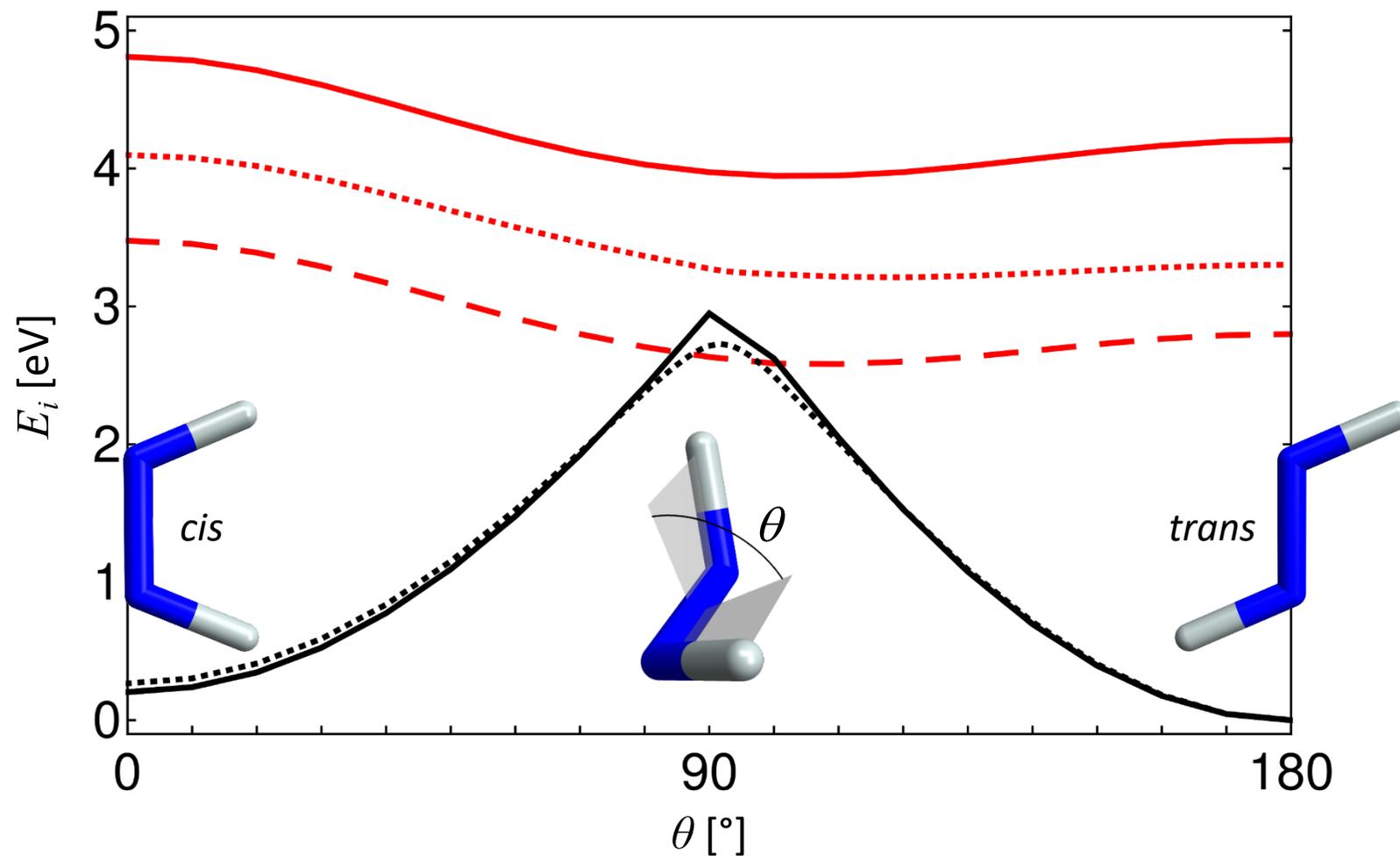
$S_1$ : HOMO ( $n$ )  $\rightarrow$  LUMO ( $\pi^*$ )



$\Delta\text{SCF } \rho_{S_1} - \rho_{S_0}$



TD-DFT  $\rho_{S_1} - \rho_{S_0}$

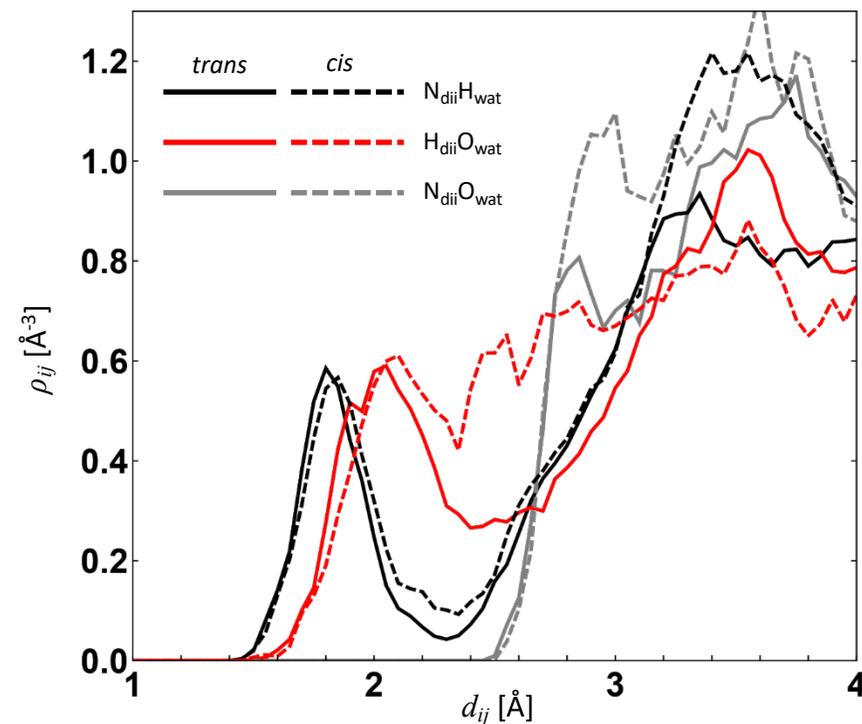
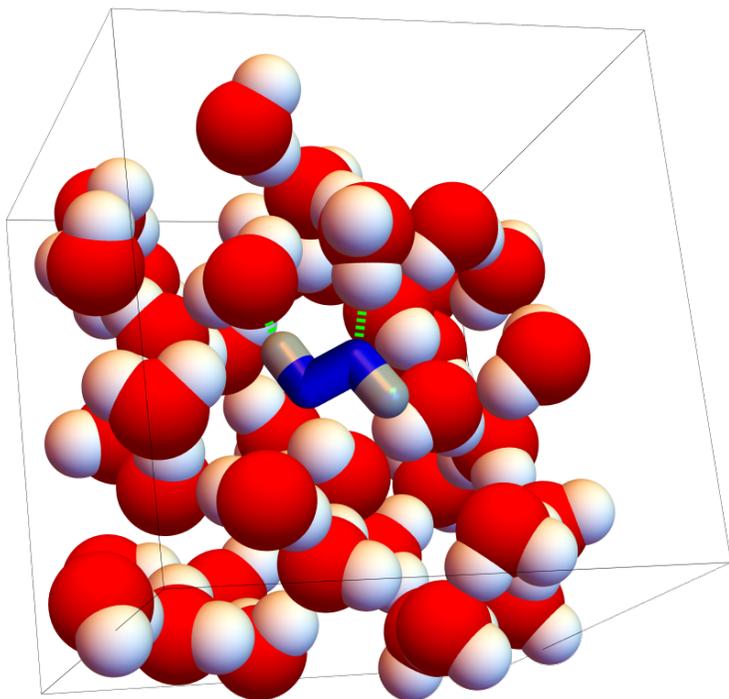


$S_0$   $S_1$   
— — DFT/ $\Delta\text{SCF}$  PBE0/TZVP-GTH  
- - - DFT/TD-DFT PBE0/TZVP-GTH  
... ... SA-CASSCF(6,4)/TZVP

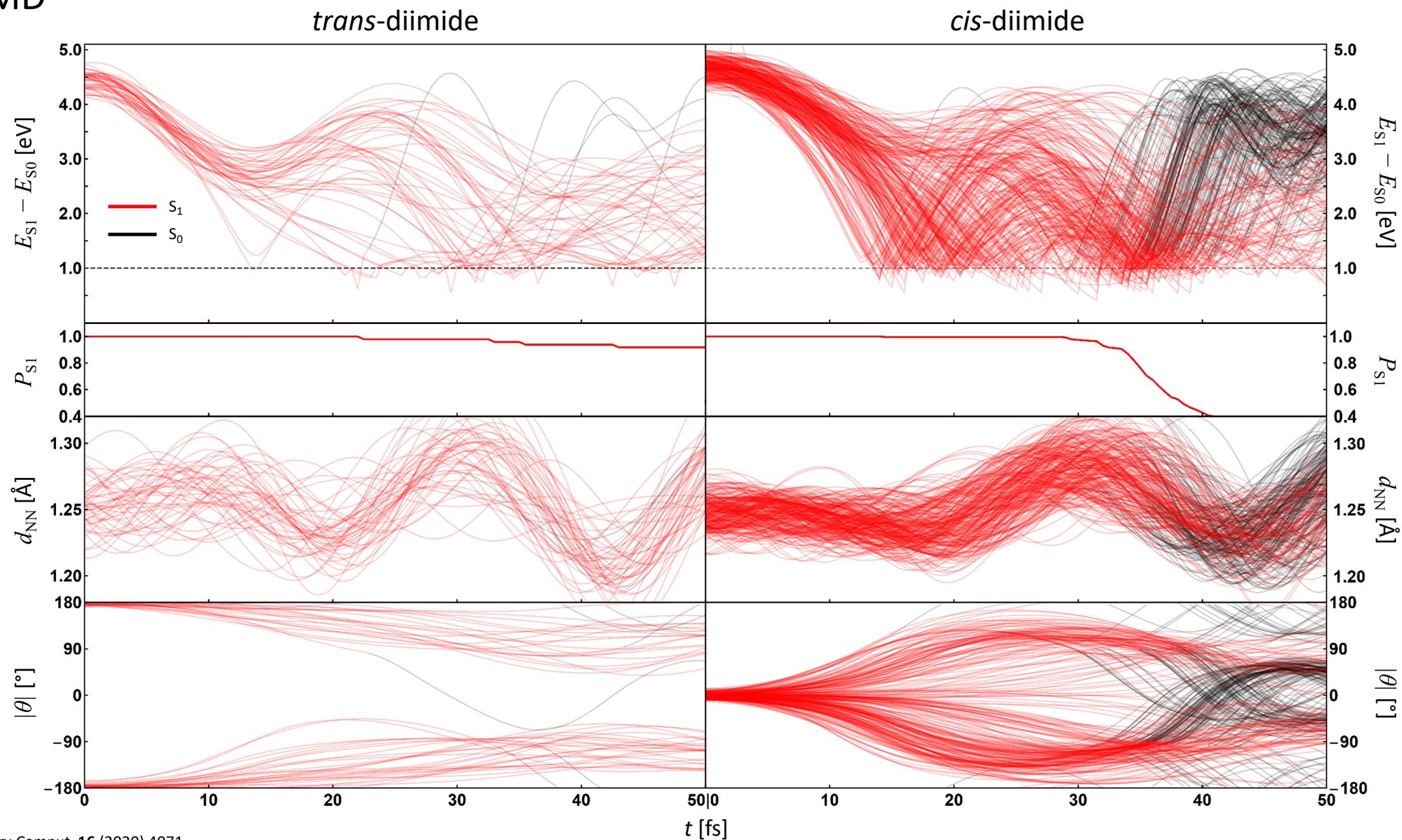
## Systems - Diimide solvated in water

*cis*-diimide + 27 water molecules in a periodic box (8.987 Å)

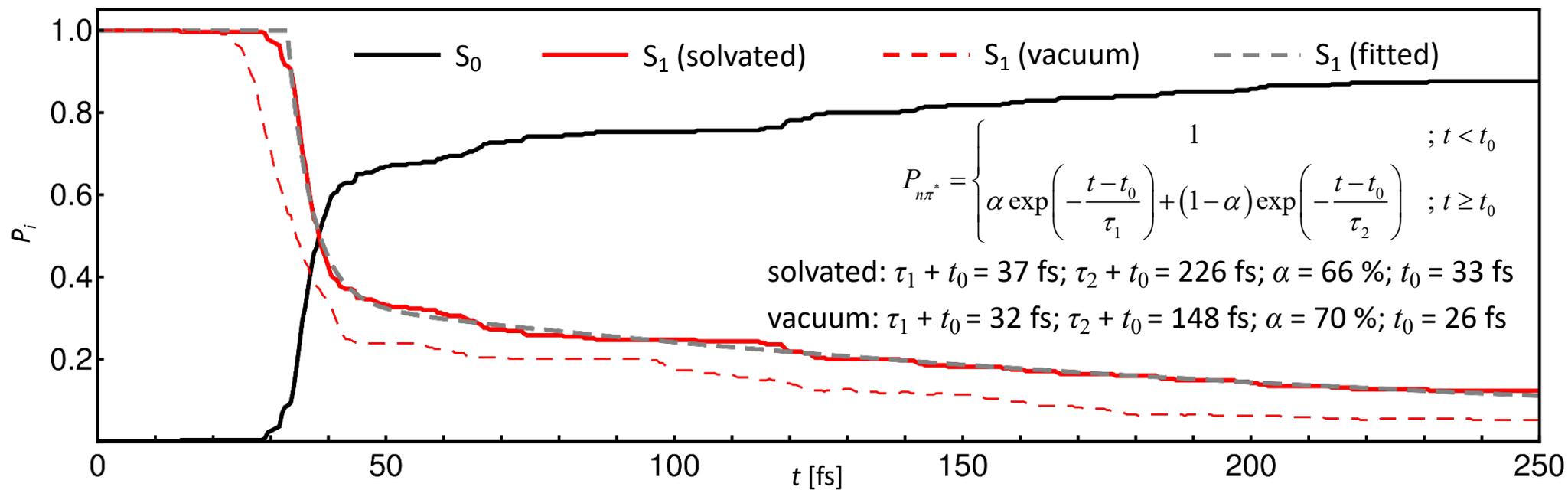
*trans*-diimide + 62 water molecules in a periodic box (11.913 Å)



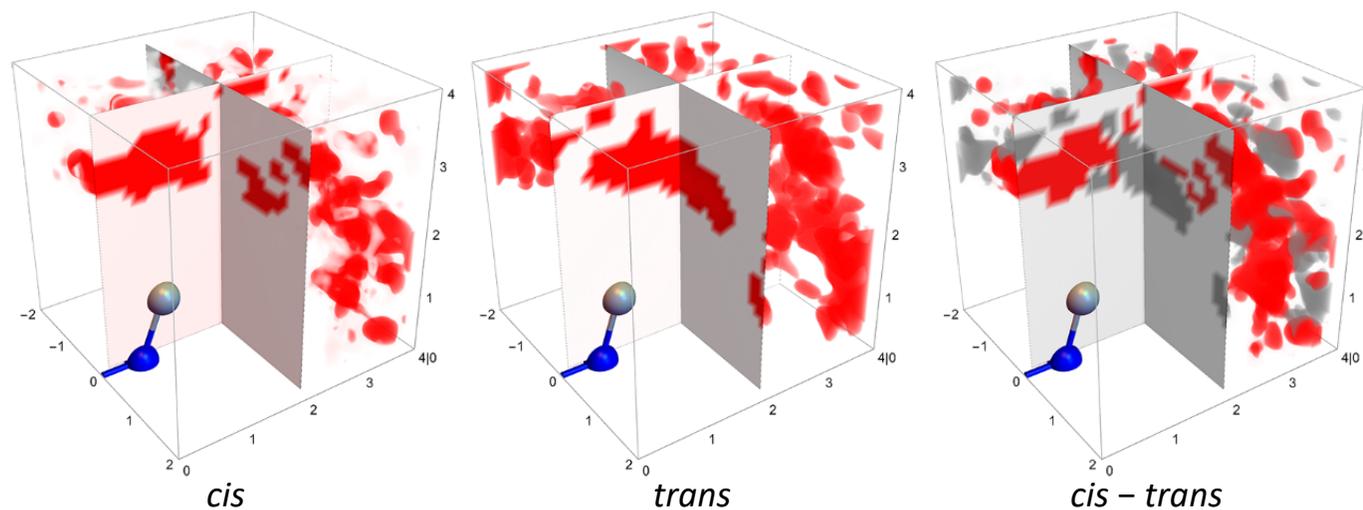
Vertical excitation to  $S_1$  state:  $4.45 \pm 0.14$  eV (solvated) vs.  $4.46 \pm 0.26$  eV (vacuum)



## *cis*-diimide $S_1$ lifetimes



Solvated *trans*-diimide  $S_1$  lifetimes appears longer



# $\Delta$ SCF with Subsystem Density Embedding (SDE)

Total electron density partitioned into subsystem electron densities

SDE<sup>1</sup> generalized to any stationary electronic state density

$$\rho_{total}^i(\vec{r}) = \sum_{s \in S} \rho_s^i(\vec{r}) = \sum_{s \in S} \sum_j n_{sj}^i |\varphi_{sj}^i(\vec{r})|^2$$

Total system electron density
Subsystem electron density

$$S = \bigcup_s S_s \quad S_s \cap S_p = \emptyset \quad \sum_{s \in S} \sum_j n_{sj}^i = N_{total}$$

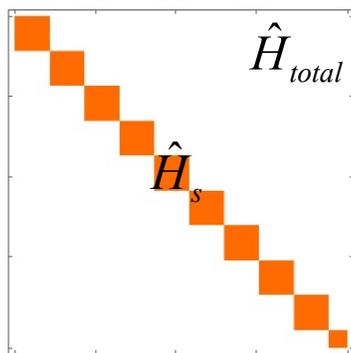
Total system number of electrons
Subsystem MO occupation numbers

$$E_{total}^i[\rho_{total}^i] = E_{ext}[\rho_{total}^i] + E_{Coul}[\rho_{total}^i] + E_{xc}[\rho_{total}^i] + E_{kin}[\rho_{total}^i] + \sum_{s \in S} (T[\{\varphi\}] - E_{kin}[\rho_s^i])$$

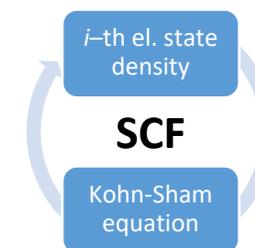
Total system electronic energy

$$\left\{ -\frac{\hbar^2}{2} \nabla^2 + V_{KS}[\rho_{total}^i](\vec{r}) + V_{emb}[\rho_{total}^i, \rho_s^i](\vec{r}) \right\} \varphi_{sj}^i(\vec{r}) = \varepsilon_{sj}^i \varphi_{sj}^i(\vec{r})$$

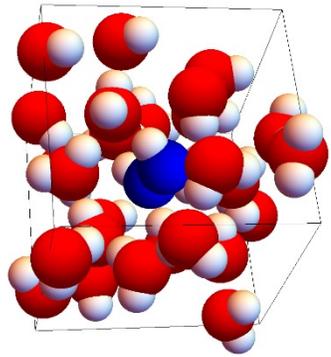
$$\langle \varphi_{sj}^i | \varphi_{s'j'}^{i'} \rangle = \begin{cases} \delta_{ss'} \delta_{jj'}; & i = i' \\ \delta_{ss'} \delta_{jj'} S_{ii'}; & i \neq i' \end{cases}$$



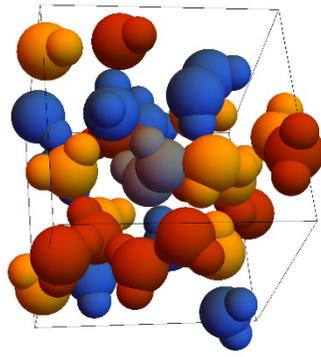
SCF applied simultaneously on all subsystems



# *cis*-diimide at the $\Delta$ SCF level with SDE

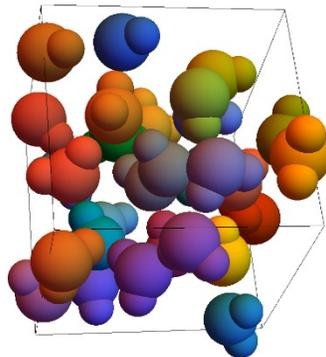


**NE**



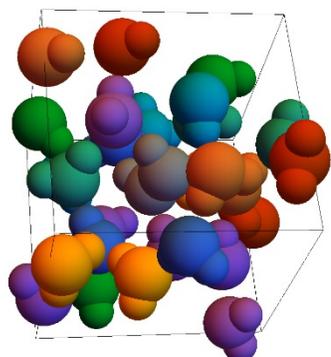
**P3**

3 subsystems  
of 9 H<sub>2</sub>O



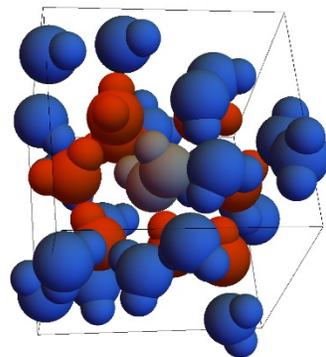
**P9**

9 subsystems  
of 3 H<sub>2</sub>O



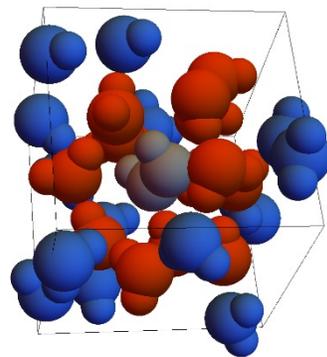
**P27**

27 subsystems  
of 1 H<sub>2</sub>O



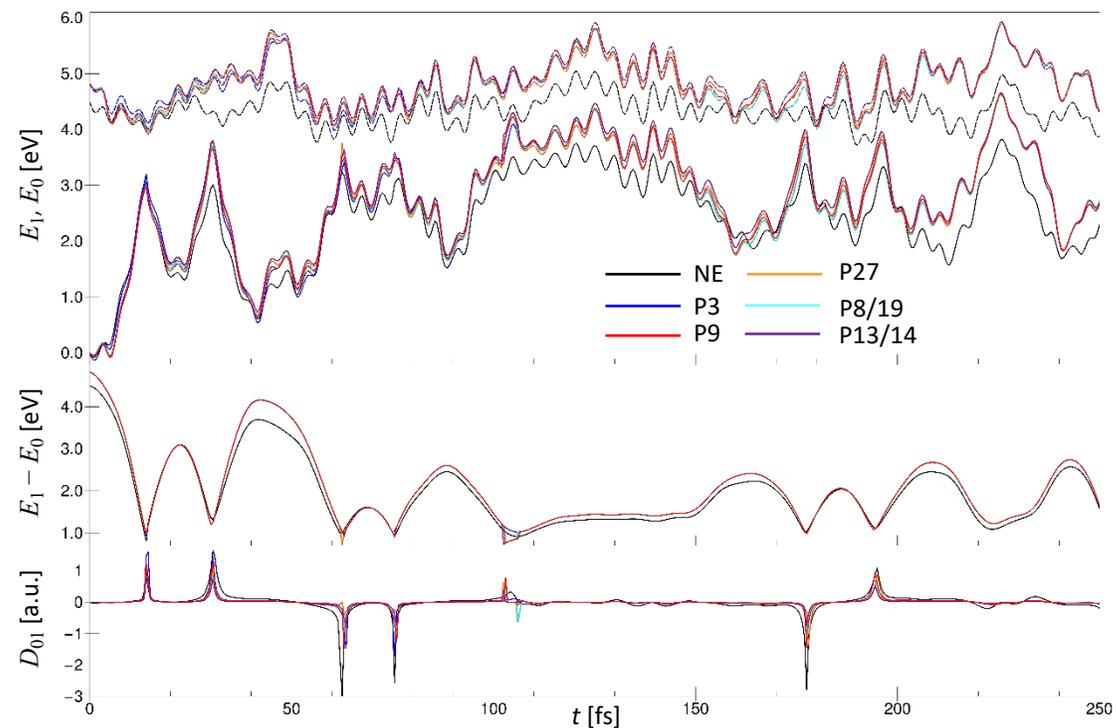
**P8/19**

Inner shell: 8 H<sub>2</sub>O  
Outer shell: 19 H<sub>2</sub>O

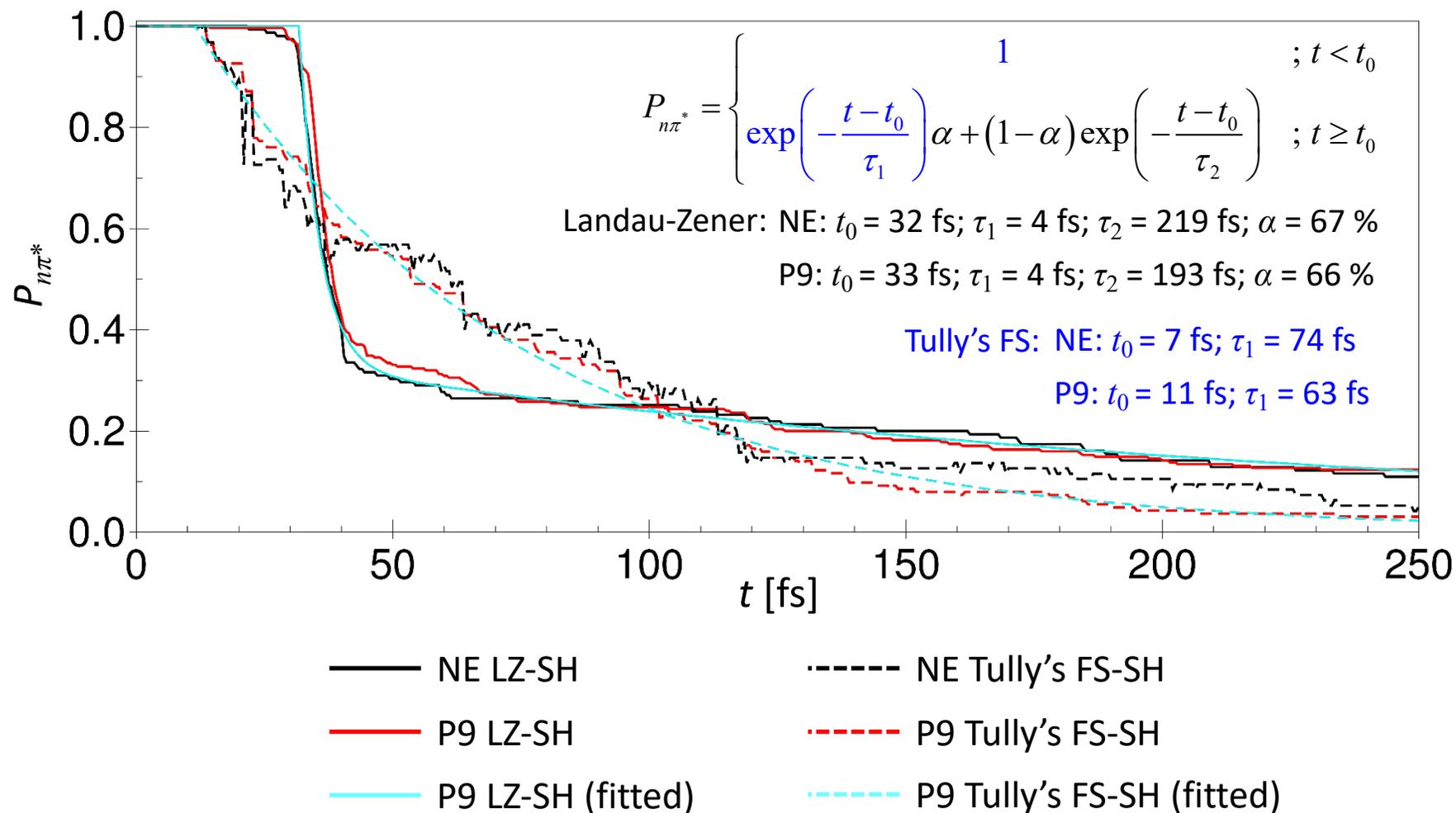


**P13/14**

Inner shell: 13 H<sub>2</sub>O  
Outer shell: 14 H<sub>2</sub>O



# *cis*-diimide $S_1$ lifetime with SDE



## Conclusion and Outlook

Use of  $\Delta$ SCF for description of singlet excited states

$\Delta$ SCF applied for NA-MD of condensed phase systems

$\Delta$ SCF can be significantly accelerated with SDE methods

Include spin-orbit coupling terms between singlet and triplet states at the  $\Delta$ SCF level

Improve optimization algorithms for  $\Delta$ SCF convergence

Generalized  $\Delta$ SCF for any excited state multiplicity

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