# Vibronic Spectra without Born-Oppenheimer Surfaces VISTA 13 Seminar

### Kevin Lively, Guillermo Albareda, Shunsuke Sato, Aaron Kelly, Angel Rubio

Max Planck Institute for the Structure and Dynamics of Matter Hamburg, Germany

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## Overview



- Mixed Species Dynamics Approaches
- Escaping the Born Oppenheimer Picture

## 2 Ehrenfest Dynamics

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  - With Born Oppenheimer
  - Without Born Oppenheimer

## Interacting Conditional Wavefunction Ansatz

Ehrenfest Dynamics Vibronic Absorption Spectra Interacting Conditional Wavefunction Ansatz

## Motivation

Mixed Species Dynamics Approaches Escaping the Born Oppenheimer Picture



Electron-Photon<sup>1</sup>



Electron-Phonon

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Electron-Nuclei

<sup>1</sup>Hoffmann, N. et al *Phys. Rev. A* 2019 99

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## **Electron-Nuclear Dynamics**

Nuclear motion changes charge migration



Light Harvesting Molecule

Kodis, G. et al J. Phys. Org. Chem 2004 17

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## Nuclear motion changes charge migration



Charge Migration with Frozen Nuclei

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## Nuclear motion changes charge migration



Charge Migration with Frozen Nuclei



Charge Migration with Ehrenfest dynamic nuclei

Andrea Rozzi et al. Nature Communications 2013, 4 < => < @> < => < => = ~

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## Nuclear Motion Changes Time Resolved Spectra



Krumland, J. et al *J. Chem Phys.* 2020, 153

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Goal

Mixed Species Dynamics Approaches Escaping the Born Oppenheimer Picture

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• Describe driven, non-perturbative system response

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Ehrenfest Dynamics Vibronic Absorption Spectra Interacting Conditional Wavefunction Ansatz

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Mixed Species Dynamics Approaches Escaping the Born Oppenheimer Picture

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- Describe driven, non-perturbative system response
- Include quantum nature of nuclear dyamics

Ehrenfest Dynamics Vibronic Absorption Spectra Interacting Conditional Wavefunction Ansatz Mixed Species Dynamics Approaches Escaping the Born Oppenheimer Picture

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- Describe driven, non-perturbative system response
- Include quantum nature of nuclear dyamics
- Large and eventually periodic systems

Ehrenfest Dynamics Vibronic Absorption Spectra Interacting Conditional Wavefunction Ansatz Mixed Species Dynamics Approaches Escaping the Born Oppenheimer Picture

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- Describe driven, non-perturbative system response
- Include quantum nature of nuclear dyamics
- Large and eventually periodic systems

### How is this usually done?

Mixed Species Dynamics Approaches Escaping the Born Oppenheimer Picture

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Mixed Species Quantum Dynamics, 
$$\partial_t \ket{\Psi} = -i \hat{H} \ket{\Psi}$$

Born Oppenheimer/Huang Framework

 $|\Psi(R,t)\rangle = \sum_{n} \chi_n(R,t) |\Phi_n(R)\rangle$ 

$$\partial_t \ket{\Psi} = -i\hat{H} \ket{\Psi}$$

Mixed Species Dynamics Approaches Escaping the Born Oppenheimer Picture

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Mixed Species Quantum Dynamics, 
$$\partial_t \ket{\Psi} = -i \hat{H} \ket{\Psi}$$

#### Born Oppenheimer/Huang Framework

$$\begin{split} |\Psi(R,t)\rangle &= \sum_{n} \chi_{n}(R,t) \left| \Phi_{n}(R) \right\rangle & i\partial_{t} \chi_{n}(R,t) = \left( \left[ \frac{\nabla^{2}}{2M} + U_{n}(R) \right] \delta_{nn'} \right. \\ \left. \partial_{t} \left| \Psi \right\rangle & + \frac{1}{M} \left\langle \Phi_{n} \right| \partial_{R} \left| \Phi_{n'} \right\rangle \cdot \partial_{R} \\ \left. + \frac{1}{2M} \left\langle \Phi_{n} \right| \partial_{R}^{2} \left| \Phi_{n'} \right\rangle \right) \chi_{n}(R,t) \end{split}$$

Mixed Species Dynamics Approaches Escaping the Born Oppenheimer Picture

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Major Problem

Mixed Species Dynamics Approaches Escaping the Born Oppenheimer Picture

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Major Problem

$${f 0}~~R\in {\mathbb R}^{3N_n}$$
,  $N_n$  : Number of nuclei

Mixed Species Dynamics Approaches Escaping the Born Oppenheimer Picture

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$$\begin{split} \text{Major Problem} \\ \bullet \ R \in \mathbb{R}^{3N_{n}}, \ N_{n} : \text{Number of nuclei} \end{split}$$

**2** Terms like  $\langle \Phi_n | \partial_R | \Phi_{n'} \rangle$  can be very expensive!

Ehrenfest Dynamics Vibronic Absorption Spectra Interacting Conditional Wavefunction Ansatz Mixed Species Dynamics Approaches Escaping the Born Oppenheimer Picture

## **Dynamics** Approaches

### Broadly speaking

#### Variational Wavefunction Ansätz

Mixed-Quantum Classical

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## **Dynamics** Approaches

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### Variational Wavefunction Ansätz

• MCTDH – "Gold Standard"

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Surface Hopping

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Surface Hopping

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## Goal: Dynamics without multiple BO states

Ehrenfest Dynamics Vibronic Absorption Spectra Interacting Conditional Wavefunction Ansatz Mixed Species Dynamics Approaches Escaping the Born Oppenheimer Picture

# **Dynamics** Approaches

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Mixed-Quantum Classical

- Path Integral MD
- Mapping approaches
- Surface Hopping
- Real Space Multi-trajectory Ehrenfest

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### Goal: Dynamics without multiple BO states

## Single Trajectory Ehrenfest: (STEF)

#### • Common and simple approach

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# Single Trajectory Ehrenfest: (STEF)

- Common and simple approach
- Implemented in most ab-initio software

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Electronic:  $\partial_t |\phi(t)\rangle = -i\hat{H}_{ele}(R(t)) |\phi(t)\rangle$ 

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• Can dramatically change dynamics



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- Can dramatically change dynamics
- No Quantum effects from nuclei



## Multi Trajectory Ehrenfest: (MTEF)

Exact equilibrium nuclear quantum statistics.

Kelly, A. et al, Energy Transport in Biomaterial Systems, Springer Series in Chemical Physics, 93 pp. 383-413, 2009 ← □ ► ← ♂ ► ← ≥ ► ← ≥ ►

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Approximate dynamics.

$$\hat{\rho}_W = \hat{\rho}_e \rho_W(R, P) + \hat{\rho}_{corr}(R, P)$$

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# Multi Trajectory Ehrenfest: (MTEF)

Exact initial electron-nuclear state

• 
$$|\chi\rangle \rightarrow \rho_W(R,P)$$

Approximate electron-nuclear dynamics

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# Multi Trajectory Ehrenfest: (MTEF)

Exact initial electron-nuclear state

Approximate electron-nuclear dynamics

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- $|\chi\rangle \rightarrow \rho_W(R,P)$
- $R_i(0), P_i(0) \sim \rho_W(R, P)$

# Multi Trajectory Ehrenfest: (MTEF)

Exact initial electron-nuclear state

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$$\partial_t \hat{\rho}_e(t) = -i[\hat{H}_W(R_i(t)), \hat{\rho}_e]$$

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$$R_i = -P_i/M,$$
  
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$$\langle O(t) \rangle = rac{1}{N} \sum_{i} Tr_e \left[ \hat{
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• Same dynamics equations as STEF

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- Same dynamics equations as STEF
- Doesn't necessarily rely on BO concepts

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ight]$$

- Same dynamics equations as STEF
- Doesn't necessarily rely on BO concepts
- Approximated dynamic correlation

With Born Oppenheimer Without Born Oppenheimer

## Linear Absorption Spectra

**Goal**: Calculate absorption spectra  $I(\omega)$ , via dipole operator  $\hat{\mu}$ 

With Born Oppenheimer Without Born Oppenheimer

## Linear Absorption Spectra

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BO Option:



With Born Oppenheimer Without Born Oppenheimer

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With Born Oppenheimer Without Born Oppenheimer

### Vibronic Spectra: Frank-Condon Principle



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With Born Oppenheimer Without Born Oppenheimer

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# Linear Absorption Spectra: MTEF-BO

### BO option with MTEF

#### MTEF-BO

$$I(\omega) \propto \omega \Re \int dt e^{i\omega t} \int d{\cal R} d{\cal P} {\cal T} r_e \left[ \hat{\mu}(t) (\hat{\mu} \hat{
ho})_W(t=0) 
ight]$$

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With Born Oppenheimer Without Born Oppenheimer

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# Linear Absorption Spectra: MTEF-BO

### BO option with MTEF

#### MTEF-BO

$$I(\omega) \propto \omega \Re \int dt e^{i\omega t} \int dR dP Tr_e \left[\hat{\mu}(t)(\hat{\mu}\hat{\rho})_W(t=0)
ight]$$
  
 $Tr_e \left[\hat{\mu}(t)\hat{\mu}(0)\hat{\rho}_e\right] = \sum_{nn'} \langle \Phi_n | \hat{\mu}(t) | \Phi_{n'} \rangle \langle \Phi_{n'} | \hat{\mu}\hat{\rho}_e | \Phi_n 
angle$ 

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With Born Oppenheimer Without Born Oppenheimer

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### BO option with MTEF

MTEF-BO

$$I(\omega) \propto \omega \Re \int dt e^{i\omega t} \int dR dP Tr_e \left[\hat{\mu}(t)(\hat{\mu}\hat{\rho})_W(t=0)\right]$$
$$Tr_e \left[\hat{\mu}(t)\hat{\mu}(0)\hat{\rho}_e\right] = \sum_{nn'} \langle \Phi_n | \hat{\mu}(t) | \Phi_{n'} \rangle \langle \Phi_{n'} | \hat{\mu}\hat{\rho}_e | \Phi_n \rangle$$
$$\partial_t P_i(t) = \sum_n -\partial_R U_n(R_i(t))\rho_e^{nn'}(t)$$
$$+ \sum_{nn'} \Re \left[ (U_n(\mathsf{R}_i(t)) \langle \Phi_n | \partial_R | \Phi_{n'} \rangle - c.c.) \rho_e^{n'n}(t) \right]$$

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### Molecular Model

#### One dimesional $H_2$

$$\hat{H}(r_1, r_2, R) = -\frac{\partial_R^2}{2\mu_n} - \sum_{i=1}^2 \frac{\partial_{r_i}^2}{2\mu_e} + \frac{1}{\sqrt{(r_1 - r_2)^2 + 1}} + \frac{1}{R} \\ - \sum_{i=1}^2 \left( \frac{1}{\sqrt{(r_i + \frac{1}{2}R)^2 + 1}} + \frac{1}{\sqrt{(r_i - \frac{1}{2}R)^2 + 1}} \right)$$

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### Molecular Model

#### One dimesional $H_2$

$$egin{aligned} \hat{H}(r_1,r_2,R) &= -rac{\partial_R^2}{2\mu_n} - \sum_{i=1}^2 rac{\partial_{r_i}^2}{2\mu_e} + rac{1}{\sqrt{(r_1-r_2)^2+1}} + rac{1}{R} \ &- \sum_{i=1}^2 \left(rac{1}{\sqrt{(r_i+rac{1}{2}R)^2+1}} + rac{1}{\sqrt{(r_i-rac{1}{2}R)^2+1}} 
ight) \end{aligned}$$

Electronic states solved on grid

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## Linear Absorption Spectra: MTEF-BO



Lively, K.; Albareda, G.; Sato, S.; Kelly, A.; Rubio, A. (Under review)  $\mathbb{P} = \mathbb{P} = \mathbb{P}$ Kevin Lively, Guillermo Albareda, Shunsuke Sato, Aaron Kelly, A Vibronic Spectra without Born-Oppenheimer Surfaces

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## Linear Absorption Spectra: MTEF-BO



• Nuclei evolve on mean field of BO surfaces

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## Linear Absorption Spectra: MTEF-BO



- Nuclei evolve on mean field of BO surfaces
- Separate calculations for each electronic transition

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## Linear Absorption Spectra: MTEF-kick

### Non BO Option with : MTEF

#### MTEF-kick

$$I(\omega) \propto rac{\omega}{\kappa} \Im \int dt e^{i\omega t} \int d{\cal R} d{\cal P} \left\langle \hat{\mu}(t) - \hat{\mu}(t=0) 
ight
angle$$

 Yabana, K.; Bertsch, G Physical Review B 1996, 54
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## Linear Absorption Spectra: MTEF-kick

### Non BO Option with : MTEF

#### MTEF-kick

$$egin{aligned} &I(\omega) \propto rac{\omega}{\kappa}\Im\int dt e^{i\omega t}\int dR dP \left<\hat{\mu}(t)-\hat{\mu}(t=0)
ight> \ &\left<\Delta\mu(t)
ight>=\int dr |\phi(r,t;R(t))|^2 \mu(r) \end{aligned}$$

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# Linear Absorption Spectra: MTEF-kick

### Non BO Option with : MTEF

MTEF-kick

$$egin{aligned} &I(\omega) \propto rac{\omega}{\kappa}\Im\int dt e^{i\omega t}\int dR dP \left<\hat{\mu}(t)-\hat{\mu}(t=0)
ight>\ &\left<\Delta\mu(t)
ight>=\int dr |\phi(r,t;R(t))|^2 \mu(r)\ &\phi(r,t=0^+)=\exp\left(i\kappa\mu(r)
ight)\phi(r,t=0),\quad\kappa<<1 \end{aligned}$$

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## Linear Absorption Spectra: MTEF-kick

### Non BO Option with : MTEF

MTEF-kick

$$egin{aligned} &I(\omega) \propto rac{\omega}{\kappa} \Im \int dt e^{i\omega t} \int dR dP \left< \hat{\mu}(t) - \hat{\mu}(t=0) \right> \ &\langle \Delta \mu(t) 
angle = \int dr |\phi(r,t;R(t))|^2 \mu(r) \ &\phi(r,t=0^+) = \exp\left(i\kappa\mu(r)
ight) \phi(r,t=0), \quad \kappa << 1 \end{aligned}$$

#### • All electronic transitions at once

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### Linear Absorption Spectra: MTEF-kick



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## Linear Absorption Spectra: MTEF-kick



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## Linear Absorption Spectra: MTEF-kick



• Vibronic character

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## Linear Absorption Spectra: MTEF-kick



- Vibronic character
- Mean field dominated by initial electronic state

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### Dynamics is More than Statistics



<sup>1</sup>Crespo-Otero, R.; Barbatti, M. *Theoretical Chemistry Accounts* 2012, 131 →  $\equiv$   $\sim$  Kevin Lively, Guillermo Albareda, Shunsuke Sato, Aaron Kelly, A Vibronic Spectra without Born-Oppenheimer Surfaces

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### Application to Ab-initio System: Benzene


## Interacting Conditional Wavefunction Ansatz

Wavefunction Ansätz

 Interacting Conditional Wavefunctions Mixed-Quantum Classical

 Real Space Multi-trajectory Ehrenfest

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### Interacting Conditional Wavefunction Ansatz

#### Conditional Wavefunctions (CWFs)

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### Interacting Conditional Wavefunction Ansatz

#### Conditional Wavefunctions (CWFs)

$$\Psi(r,R)$$
  
 $\Psi(r,R)$ 

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$$\frac{\int dr \delta(r-r^{\alpha})\Psi(r,R)}{\int dR \delta(R-R^{\alpha})\Psi(r,R)}$$

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## Interacting Conditional Wavefunction Ansatz

#### Conditional Wavefunctions (CWFs)



 $\frac{\sum_{\alpha} \int dr \delta(r - r^{\alpha}) \Psi(r, R)}{\sum_{\alpha} \int dR \delta(R - R^{\alpha}) \Psi(r, R)}$ 

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### ICWF Ansatz

#### Conditional Hamiltonians

$$\int dr \delta(r - r^{\alpha}) H(r, R) = -\frac{\nabla_R^2}{2M} + V_{nn}(R) + V_{en}(R, r^{\alpha}) + \eta(R, r^{\alpha})$$
$$\int dR \delta(R - R^{\alpha}) H(r, R) = -\frac{\nabla_r^2}{2} + V_{ee}(r) + V_{en}(r, R^{\alpha}) + \eta(r, R^{\alpha})$$

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### ICWF Ansatz

#### Conditional Hamiltonians

$$\int dr \delta(r - r^{\alpha}) H(r, R) = -\frac{\nabla_R^2}{2M} + V_{nn}(R) + V_{en}(R, r^{\alpha}) + \underline{\eta}(R, \tau^{\alpha})$$
$$\int dR \delta(R - R^{\alpha}) H(r, R) = -\frac{\nabla_r^2}{2} + V_{ee}(r) + V_{en}(r, R^{\alpha}) + \underline{\eta}(r, R^{\alpha})$$

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#### ICWF Ansatz

#### Conditional Hamiltonians

$$h_n(R, r^{\alpha}) = -\frac{\nabla_R^2}{2M} + V_{nn}(R) + V_{en}(R, r^{\alpha})$$
$$h_e(r, R^{\alpha}) = -\frac{\nabla_r^2}{2} + V_{ee}(r) + V_{en}(r, R^{\alpha})$$

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## ICWF Ansatz

#### Variational ICWF

$$h_e(r, R^{\alpha})\phi_e^{\alpha}(r) = \epsilon_e^{\alpha}\phi_e^{\alpha}(r)$$

• Parameter free static basis

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### ICWF Ansatz

#### Variational ICWF

$$h_e(r, R^{\alpha})\phi_e^{\alpha}(r) = \epsilon_e^{\alpha}\phi_e^{\alpha}(r), \ h_n(R, r^{\alpha})\chi_n^{\alpha}(R) = \epsilon_n^{\alpha}\chi_n^{\alpha}(R)$$

#### • Parameter free static basis

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## ICWF Ansatz

#### Variational ICWF

$$h_e(r, R^{\alpha})\phi_e^{\alpha}(r) = \epsilon_e^{\alpha}\phi_e^{\alpha}(r), \ h_n(R, r^{\alpha})\chi_n^{\alpha}(R) = \epsilon_n^{\alpha}\chi_n^{\alpha}(R)$$

$$\Psi(r,R,t) = \sum_{\alpha}^{N_c} C_{\alpha}(t) \prod_{i}^{N_e} \phi_e^{\alpha}(r_i) \prod_{l}^{N_n} \chi_n^{\alpha}(R_l)$$

- Parameter free static basis
- Treats electrons and nuclei equally

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## ICWF Ansatz

#### Variational ICWF

$$h_{e}(r, R^{\alpha})\phi_{e}^{\alpha}(r) = \epsilon_{e}^{\alpha}\phi_{e}^{\alpha}(r), \ h_{n}(R, r^{\alpha})\chi_{n}^{\alpha}(R) = \epsilon_{n}^{\alpha}\chi_{n}^{\alpha}(R)$$
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$$\dot{C}_{\alpha} = -i\left[\mathsf{S}^{-1}\mathsf{H}\right]_{\alpha\beta}$$

- - Parameter free static basis
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#### Variational ICWF

$$h_e(r, R^{\alpha})\phi_e^{\alpha}(r) = \epsilon_e^{\alpha}\phi_e^{\alpha}(r), \ h_n(R, r^{\alpha})\chi_n^{\alpha}(R) = \epsilon_n^{\alpha}\chi_n^{\alpha}(R)$$

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$$\dot{C}_{\alpha} = -i \left[ \mathsf{S}^{-1} \mathsf{H} \right]_{\alpha\beta}, \, \mathsf{S}_{\alpha\beta} = \langle \phi^{\alpha}_{e} \chi^{\alpha}_{n} | \phi^{\beta}_{e} \chi^{\beta}_{n} \rangle$$

- Parameter free static basis
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#### Variational ICWF

$$h_e(r, R^{\alpha})\phi_e^{\alpha}(r) = \epsilon_e^{\alpha}\phi_e^{\alpha}(r), \ h_n(R, r^{\alpha})\chi_n^{\alpha}(R) = \epsilon_n^{\alpha}\chi_n^{\alpha}(R)$$

$$\Psi(r,R,t) = \sum_{\alpha}^{N_c} C_{\alpha}(t) \prod_{i}^{N_e} \phi_e^{\alpha}(r_i) \prod_{l}^{N_n} \chi_n^{\alpha}(R_l)$$

$$\dot{C}_{\alpha} = -i \left[ \mathsf{S}^{-1} \mathsf{H} \right]_{\alpha\beta}, \ \mathsf{S}_{\alpha\beta} = \langle \phi_{e}^{\alpha} \chi_{n}^{\alpha} | \phi_{e}^{\beta} \chi_{n}^{\beta} \rangle, \ \mathsf{H}_{\alpha\beta} = \langle \phi_{e}^{\alpha} \chi_{n}^{\alpha} | \hat{H} | \phi_{e}^{\beta} \chi_{n}^{\beta} \rangle$$

- Parameter free static basis
- Treats electrons and nuclei equally

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### **ICWF-kick**



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### Utility of Conditional Wavefunctions

Use gaussian basis with optimized uniform widths instead of CWFs



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### ICWF under a driving laser field



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#### • Vibronic effects without BO quantites

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- Vibronic effects without BO quantites
  - Accuracy dependent on electron-nuclear dynamics method

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# Summary

- Vibronic effects without BO quantites
  - Accuracy dependent on electron-nuclear dynamics method
- MTEF captures non-trivial electron-nuclear correlation

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- Vibronic effects without BO quantites
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  - If you can do one trajectory, do many!

# Summary

- Vibronic effects without BO quantites
  - Accuracy dependent on electron-nuclear dynamics method
- MTEF captures non-trivial electron-nuclear correlation
  - If you can do one trajectory, do many!
- Foundation for non-perturbative electron-nuclear dynamics without reliance on BO surfaces

#### **Future Directions**

Periodic systems

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#### **Future Directions**

- Periodic systems
  - Extension of ICWF

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#### **Future Directions**

#### Periodic systems

- Extension of ICWF
- MTEF forces expected to be better than molecular  $H_2$

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### **Future Directions**

- Periodic systems
  - Extension of ICWF
  - MTEF forces expected to be better than molecular  $H_2$
- Nonlinear Spectra

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### **Future Directions**

- Periodic systems
  - Extension of ICWF
  - MTEF forces expected to be better than molecular  $H_2$
- Nonlinear Spectra
  - Field and time dependent signals

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## **Future Directions**

- Periodic systems
  - Extension of ICWF
  - MTEF forces expected to be better than molecular  $H_2$
- Nonlinear Spectra
  - Field and time dependent signals
  - Pump Probe

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## **Future Directions**

- Periodic systems
  - Extension of ICWF
  - MTEF forces expected to be better than molecular  $H_2$
- Nonlinear Spectra
  - Field and time dependent signals
  - Pump Probe
- Non Perturbative electron-phonon dynamics in Periodic systems

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#### Acknowledgements

Dr. Angel Rubio Dr. Aaron Kelly Dr. Guillermo Albareda

mpsd

#### Dr. Shunsuke Sato





Max-Planck-Institut für Struktur und Dynamik der Materie

#### Thanks for Your Kind Attention!

Questions?

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#### Appendix: Wigner Transform

$$\hat{
ho}_W(R,P) = \int dX e^{-irac{PX}{\hbar}} \left\langle R + X/2 |\hat{
ho}|R - X/2 
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angle$$

Given QHO ground state: 
$$\chi(R) = \frac{1}{\sqrt[4]{\pi}} \sqrt{\frac{m\omega}{\hbar}} e^{-\frac{m\omega x^2}{4\hbar}}$$

$$\chi(R) \to W(R, P) = \frac{2}{h} \exp(-\frac{P^2}{m\omega\hbar} - \frac{m\omega R^2}{\hbar})$$

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